

Nucleon resonances in a covariant quark model

Gilberto Ramalho

**International Institute of Physics,
Federal University of Rio Grande do Norte, Brazil**

gilberto.ramalho2013@gmail.com

Collaborators: F. Gross, M.T. Peña and K. Tsushima

**7th International Workshop on Pion-Nucleon Partial- Wave Analysis
and the Interpretation of Baryon Resonances**

**Camagli, Italy
September 25, 2013**

Covariant spectator quark model

- Wave functions
- Quark current
- Transition current \Rightarrow form factors/helicity amplitudes

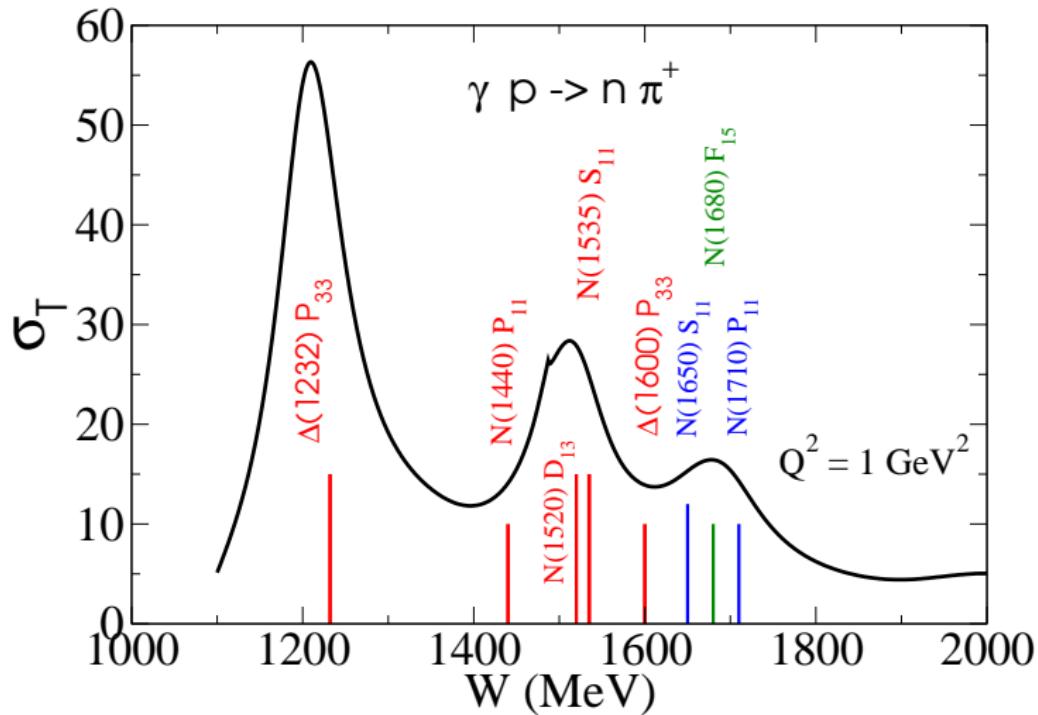
$$F = F_{qqq} + \underbrace{F_{qqq(q\bar{q})}}_{\approx \frac{1}{Q^4} F_{qqq}}$$

Form factors: quark core plus meson cloud (suppressed at high Q^2)

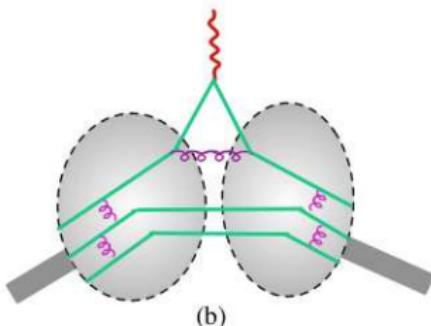
\Rightarrow Results for $\gamma^* N \rightarrow N^*$ form factors/helicity amplitudes

$N^* = N(939), N(1440), N(1520), N(1535), \Delta(1232), \Delta(1600)$

Nucleon Resonance Structure

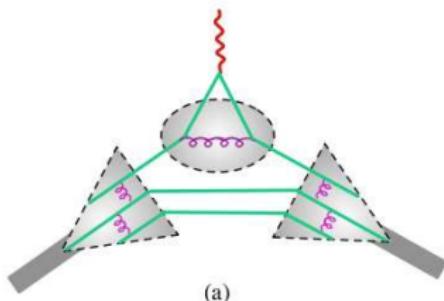


Formalism (Light Front vs CSQM)



Light Front formalism

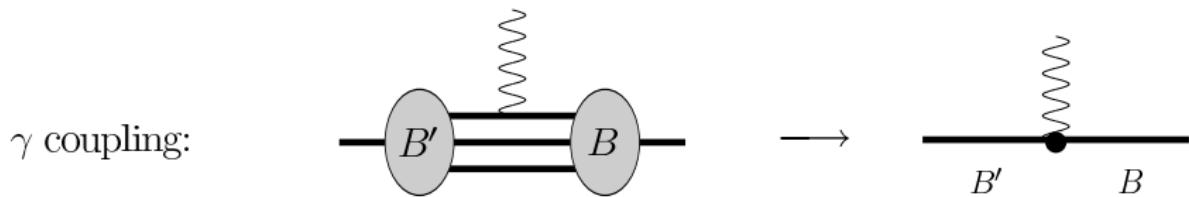
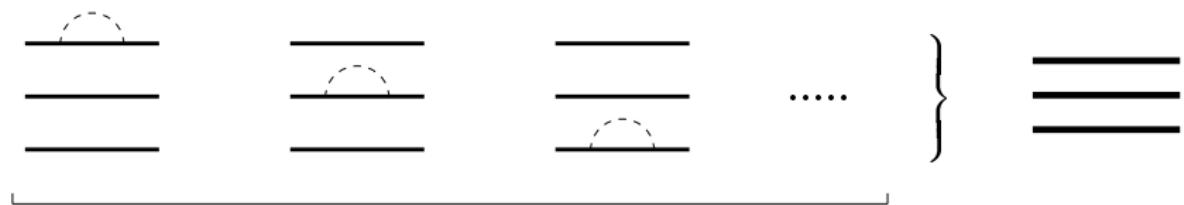
- Pointlike quarks
- Baryon states as a sum of Fock states:
 $qqq, qqqg, qqq(q\bar{q}), \dots$
- **Light quarks**
 $\kappa_u, \kappa_d = 0$



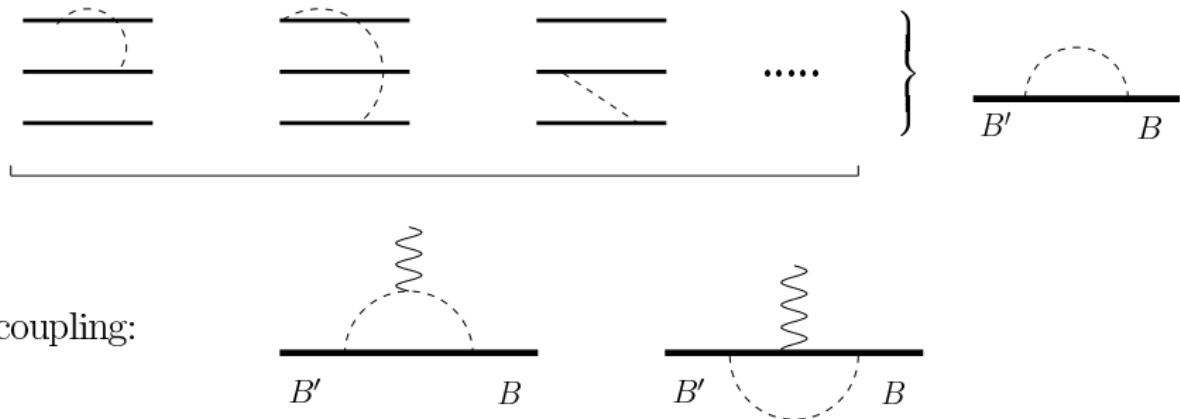
Covariant Spectator QM formal.

- Gluon interactions between $q\bar{q}$
→ **quark form factors**
- **Baryon:** system of **dressed quarks** (**gluons** and $q\bar{q}$)
- **Massive quarks** with anomalous magnetic moments κ_u, κ_d

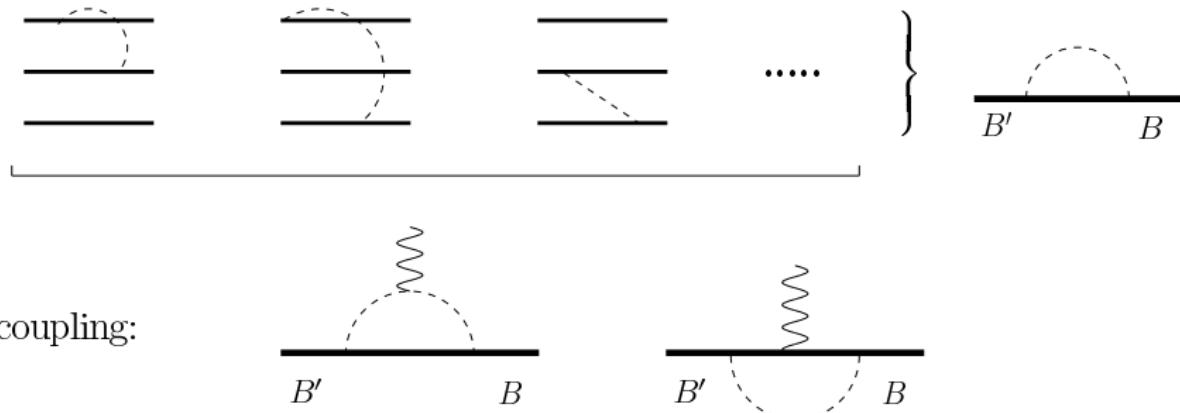
Quark structure and electromagnetic interaction (I) †



Quark structure and electromagnetic interaction (II) †



Quark structure and electromagnetic interaction (II) †

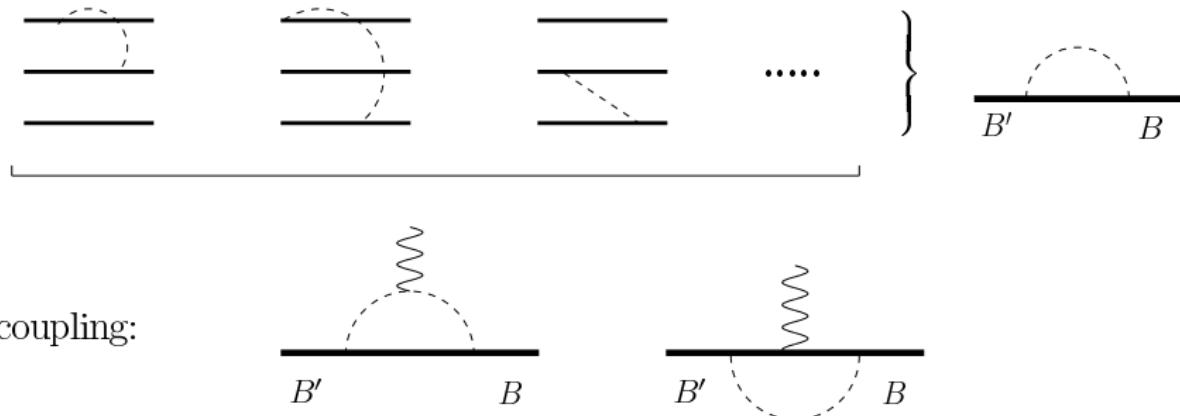


- Not important at high Q^2 : pQCD: suppression $1/Q^4$

CE Carlson FBS Sup 11, 10 (1999): $F \propto \frac{1}{(Q^2)^{(N-1)}}$, $N = 3 + 2$

Very important at low Q^2

Quark structure and electromagnetic interaction (II) †



- Not important at high Q^2 : pQCD: suppression $1/Q^4$
CE Carlson FBS Sup 11, 10 (1999): $F \propto \frac{1}{(Q^2)^{(N-1)}}$, $N = 3 + 2$
Very important at low Q^2
- Assume NO interference with quark dressing processes

$$F = F^B + F^{mc}$$

(bare \oplus meson cloud)

Spectator QM: Baryon wave functions (I)

- Baryon: 3 constituent quark system
- Covariant Spectator Theory: wave function Ψ defined in terms of a 3-quark vertex Γ with 2 on-mass-shell quarks

$$\begin{array}{c} k_3 \\ \hline k_2 \\ \hline k_1 \end{array} \text{---} \Psi = \begin{array}{c} \text{---} \\ \times \\ \times \end{array} \text{---} \Gamma \quad \Psi_\alpha(P, k_3) = \left(\frac{1}{m_q - k_3 - i\varepsilon} \right)_{\alpha\beta} \Gamma^\beta(P, k_1, k_2)$$

Gross and Agbakpe PRC 73, 015203 (2006); Gross, GR and Peña PRC 77, 015202 (2008)

- Ψ is free of singularities ($3q$ on-shell $\Gamma \equiv 0$) \Rightarrow parametrize Ψ
Stadler, Gross and Frank PRC 56, 2396 (1998); Savkli and Gross PRC 63, 035208 (2001)

- On-shell integration $(k_1, k_2) \Rightarrow k = k_1 + k_2, r = \frac{1}{2}(k_1 - k_2)$
 \Rightarrow integration in \mathbf{k} and $s = (k_1 + k_2)^2$

Gross, GR and Peña, PRC 77, 015202 (2008); PRD 85, 093005 (2012)

$$\int_{k_1} \int_{k_2} = \frac{\pi}{4} \int d\Omega_{\hat{\mathbf{r}}} \int_{4m_q^2}^{+\infty} ds \sqrt{\frac{s - 4m_q^2}{s}} \int \frac{d^3 \mathbf{k}}{2\sqrt{s + \mathbf{k}^2}} \rightarrow \int \frac{d^3 \mathbf{k}}{2\sqrt{m_D^2 + \mathbf{k}^2}}$$

Mean value theorem: $\sqrt{s} \rightarrow m_D$; cov. int. in diquark on-shell mom.

Spectator QM: Baryon wave functions (II)

Ψ_B not determined by a dynamical equation \Leftarrow phenomenology $M_B = M_B^{\text{exp}}$

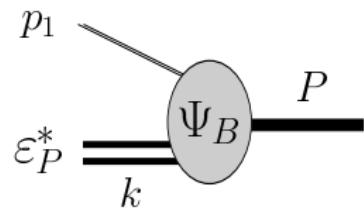
Spectator QM: Baryon wave functions (II)

Ψ_B not determined by a dynamical equation \Leftarrow phenomenology $M_B = M_B^{\text{exp}}$

- Baryon wave functions: $B = \text{diquark} \oplus \text{quark}$

Combination of **diquark** (12) and single **quark** (3) states,
using $SU(6) \otimes O(3)$:

$$\Psi_B = \sum (\text{color}) \otimes (\text{flavor}) \otimes (\text{spin}) \\ \otimes (\text{orbital}) \otimes \underbrace{\psi_B(P, k)}_{\text{radial}}$$



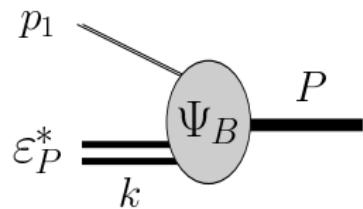
Spectator QM: Baryon wave functions (II)

Ψ_B not determined by a dynamical equation \Leftarrow phenomenology $M_B = M_B^{\text{exp}}$

- Baryon wave functions: $B = \text{diquark} \oplus \text{quark}$

Combination of **diquark** (12) and single **quark** (3) states,
using $SU(6) \otimes O(3)$:

$$\Psi_B = \sum (\text{color}) \otimes (\text{flavor}) \otimes (\text{spin}) \\ \otimes (\text{orbital}) \otimes \underbrace{\psi_B(P, k)}_{\text{radial}}$$



- Ψ_B in **rest frame** using **quark states**

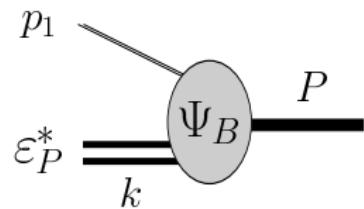
Spectator QM: Baryon wave functions (II)

Ψ_B not determined by a dynamical equation \Leftarrow phenomenology $M_B = M_B^{\text{exp}}$

- Baryon wave functions: $B = \text{diquark} \oplus \text{quark}$

Combination of **diquark** (12) and single **quark** (3) states,
using $SU(6) \otimes O(3)$:

$$\Psi_B = \sum (\text{color}) \otimes (\text{flavor}) \otimes (\text{spin}) \\ \otimes (\text{orbital}) \otimes \underbrace{\psi_B(P, k)}_{\text{radial}}$$



- Ψ_B in **rest frame** using **quark states**
- **Covariant** generalization of Ψ_B in terms **baryon properties**

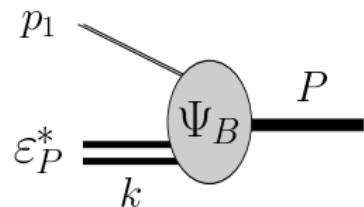
Spectator QM: Baryon wave functions (II)

Ψ_B not determined by a dynamical equation \Leftarrow phenomenology $M_B = M_B^{\text{exp}}$

- Baryon wave functions: $B = \text{diquark} \oplus \text{quark}$

Combination of **diquark** (12) and single **quark** (3) states,
using $SU(6) \otimes O(3)$:

$$\Psi_B = \sum (\text{color}) \otimes (\text{flavor}) \otimes (\text{spin}) \\ \otimes (\text{orbital}) \otimes \underbrace{\psi_B(P, k)}_{\text{radial}}$$



- Ψ_B in **rest frame** using **quark states**
- **Covariant** generalization of Ψ_B in terms **baryon properties**
- Ψ_B can be used on **any** frame and/or Q^2 regime

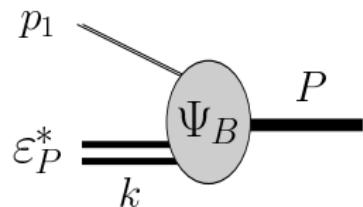
Spectator QM: Baryon wave functions (II)

Ψ_B not determined by a dynamical equation \Leftarrow phenomenology $M_B = M_B^{\text{exp}}$

- Baryon wave functions: $B = \text{diquark} \oplus \text{quark}$

Combination of **diquark** (12) and single **quark** (3) states,
using $SU(6) \otimes O(3)$:

$$\Psi_B = \sum (\text{color}) \otimes (\text{flavor}) \otimes (\text{spin}) \\ \otimes (\text{orbital}) \otimes \underbrace{\psi_B(P, k)}_{\text{radial}}$$

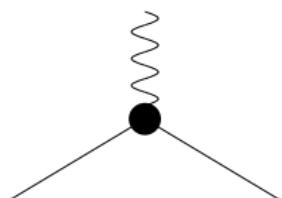


- Ψ_B in **rest frame** using **quark states**
- **Covariant** generalization of Ψ_B in terms **baryon properties**
- Ψ_B can be used on **any frame** and/or Q^2 regime
- Phenomenology in the **radial wf** (momentum scale parameters)

Spectator QM: Quark current (VMD at quark level) (I)

- Quark current [$f_{i\pm}$ quark form factors]

$$j_q^\mu = \left[\frac{1}{6} f_{1+} + \frac{1}{2} f_{1-} \tau_3 \right] \gamma^\mu + \\ \left[\frac{1}{6} f_{2+} + \frac{1}{2} f_{2-} \tau_3 \right] \frac{i \sigma^{\mu\nu} q_\nu}{2M_N}$$

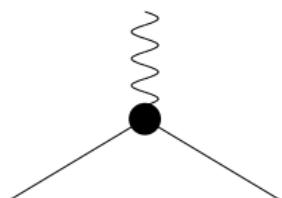


Quarks with **anomalous** magnetic moments κ_u, κ_d

Spectator QM: Quark current (VMD at quark level) (I)

- Quark current [$f_{i\pm}$ quark form factors]

$$j_q^\mu = \left[\frac{1}{6} f_{1+} + \frac{1}{2} f_{1-} \tau_3 \right] \gamma^\mu + \\ \left[\frac{1}{6} f_{2+} + \frac{1}{2} f_{2-} \tau_3 \right] \frac{i \sigma^{\mu\nu} q_\nu}{2M_N}$$



Quarks with **anomalous** magnetic moments κ_u, κ_d

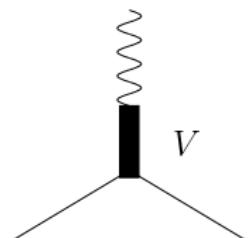
- Vector meson dominance parameterization:

$$\text{Quark line} = \text{Born term} + \text{One-loop correction} + \text{Two-loop correction}$$
A Feynman diagrammatic representation of the vector meson dominance parameterization. It shows a quark line (green wavy line) interacting with a nucleon (black circle). The diagram is decomposed into three parts: a Born term (quark line to nucleon), a one-loop correction (quark line to nucleon through a loop with a red dot), and a two-loop correction (quark line to nucleon through a loop with two red dots).

Spectator QM: Quark current (VMD at quark level) (I)

- Quark current [$f_{i\pm}$ quark form factors]

$$j_q^\mu = \left[\frac{1}{6} f_{1+} + \frac{1}{2} f_{1-} \tau_3 \right] \gamma^\mu + \\ \left[\frac{1}{6} f_{2+} + \frac{1}{2} f_{2-} \tau_3 \right] \frac{i \sigma^{\mu\nu} q_\nu}{2M_N}$$



Quarks with **anomalous** magnetic moments κ_u, κ_d

- Vector meson dominance parameterization:



Spectator QM: Quark current (VMD at quark level) (II)

- Vector meson dominance parameterization: PRC77 015202 (2008)

$$\text{Diagram: } \text{A green vertex with a red wavy line} = \text{A green vertex with a red wavy line} + \text{A green vertex with a red dot and a red wavy line} + \text{A green vertex with two red dots and a red wavy line}$$
$$f_{1\pm} = \lambda_q + (1 - \lambda_q) \frac{m_v^2}{m_v^2 + Q^2} + c_\pm \frac{M_h^2 Q^2}{(M_h^2 + Q^2)^2}$$
$$f_{2\pm} = \kappa_\pm \left\{ d_\pm \frac{m_v^2}{m_v^2 + Q^2} + (1 - d_\pm) \frac{M_h^2}{M_h^2 + Q^2} \right\}$$

2 poles:

- Light vector meson: $m_v \simeq m_\rho (\approx m_\omega)$
- Effective heavy meson: $M_h (= 2M_N) \leftarrow$ short range

Nucleon magnetic moments $\Rightarrow \kappa_\pm$

4 parameters: λ_q, c_\pm, d_\pm (mixture coefficients) $\oplus d_+ = d_-$

↑ Fitted to nucleon form factors data

Spectator QM: Transition currents ($\gamma N \rightarrow N^*$)

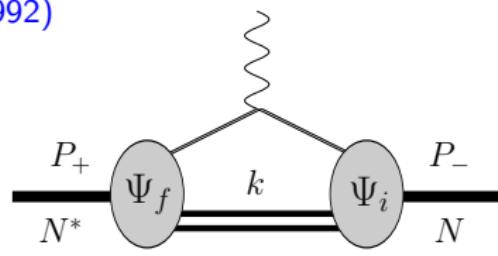
Quark current j_q^μ \oplus Baryon wave function $\Psi_B \Rightarrow J^\mu$

Transition current J^μ in **spectator formalism**

F Gross et al PR 186 (1969); PRC 45, 2094 (1992)

Relativistic impulse approximation:

$$J^\mu = 3 \sum_\lambda \int_k \bar{\Psi}_f(P_+, k) j_q^\mu \Psi_i(P_-, k)$$



diquark on-shell

$$q = P_+ - P_-, \quad P = \frac{1}{2}(P_+ + P_-), \quad Q^2 = -q^2$$

$q \cdot J \neq 0$: Landau prescription: $J^\mu \rightarrow J^\mu - \frac{q \cdot J}{q^2} q^\mu$

JJ Kelly, PRC 56, 2672 (1997); Z Batiz and F Gross, PRC 58, 2963 (1998)

Spin 1/2 resonances: transition currents †

Nucleon:

$$J^\mu = \bar{u}(P_+) \left[F_1 \gamma^\mu + F_2 \frac{i\sigma^{\mu\nu} q_\nu}{2M_N} \right] u(P_-)$$

$\gamma N \rightarrow N(1440)$ (R): $J^P = \frac{1}{2}^+$

$$J^\mu = \bar{u}_R(P_+) \left[F_1^* \left(\gamma^\mu - \frac{q^\mu}{q^2} \right) + F_2^* \frac{i\sigma^{\mu\nu} q_\nu}{M_R + M_N} \right] u(P_-)$$

$\gamma N \rightarrow N(1535)$ (S): $J^P = \frac{1}{2}^-$

$$J^\mu = \bar{u}_S(P_+) \left[F_1^* \left(\gamma^\mu - \frac{q^\mu}{q^2} \right) + F_2^* \frac{i\sigma^{\mu\nu} q_\nu}{M_S + M_N} \right] \gamma_5 u(P_-)$$

Form factors $F_1^*, F_2^* \rightarrow A_{1/2}, S_{1/2}$ exclusive functions of Q^2

Spin 3/2 resonances: transition currents †

$\gamma N \rightarrow \Delta(1232), N^*(1520), \Delta(1600)$:

$$J^\mu = \bar{u}_\beta(P_+) \left[G_1 q^\beta \gamma^\mu + G_2 q^\beta P^\mu + G_3 q^\beta q^\mu - G_4 g^{\beta\mu} \right] \begin{pmatrix} \gamma_5 \\ 1 \end{pmatrix} u(P_-)$$

u_β Rarita-Schwinger spinor

Only 3 independent form factors:

$$q_\mu J^\mu = 0 \Rightarrow G_4 = (M_R \pm M_N) G_1 + \frac{1}{2}(M_R^2 - M_N^2) G_2 - Q^2 G_3$$

$$G_1, G_2, G_3 \Rightarrow G_M, G_E, G_C \text{ or } A_{1/2}, A_{3/2}, S_{1/2}$$

Definition of the helicity amplitudes ($\frac{1}{2} \rightarrow \frac{1}{2}, \frac{3}{2}$) ††

Resonance R rest frame

$$A_{3/2} = \sqrt{\frac{2\pi\alpha}{K}} \langle R, S'_z = +\frac{3}{2} | \epsilon_+ \cdot J | N, S_z = +\frac{1}{2} \rangle$$

$$A_{1/2} = \sqrt{\frac{2\pi\alpha}{K}} \langle R, S'_z = +\frac{1}{2} | \epsilon_+ \cdot J | N, S_z = -\frac{1}{2} \rangle$$

$$S_{1/2} = \sqrt{\frac{2\pi\alpha}{K}} \langle R, S'_z = +\frac{1}{2} | \epsilon_0 \cdot J | N, S_z = +\frac{1}{2} \rangle \frac{|\mathbf{q}|}{Q},$$

$$\alpha = \frac{e^2}{4\pi} \quad K = \frac{M_R^2 - M^2}{2M_R}$$

Spectator QM: Nucleon wave function

Nucleon wave function: [PRC 77,015202 (2008); EPJA 36, 329 (2008)]

Simplest structure –**S-state** in quark-diquark system

$$\Psi_N(P, k) = \frac{1}{\sqrt{2}} [\Phi_I^0 \Phi_S^0 + \Phi_I^1 \Phi_S^1] \psi_N(P, k)$$

Isospin states: $\Phi_I^{0,1}$

Spin states:

$$\Phi_S^0(s) \equiv u(P, s) \quad \Phi_S^1(s) \equiv -(\varepsilon_\lambda^*)_\alpha U^\alpha(P, s)$$

$$U^\alpha(P, s) = \sum_{\lambda s'} \left\langle \frac{1}{2} s'; 1\lambda | \frac{1}{2} s \right\rangle \varepsilon_\lambda^\alpha u(P, s') \rightarrow \frac{1}{\sqrt{3}} \gamma_5 \left(\gamma^\alpha - \frac{P^\alpha}{M} \right) u(P, s)$$

$\varepsilon_\lambda = \varepsilon_{\lambda P}$ function of nucleon momentum

Fixed-Axis polarization states; PRC 77, 035203 (2008)

$\Rightarrow \Psi_N$ pure S-state

Radial (scalar) wave function: Nucleon

Scalar wave functions dependent of $(P - k)^2 = (\text{quark momentum})^2$

$$\chi_B = \frac{(M_B - m_D)^2 - (P - k)^2}{M_B m_D} \xrightarrow{NR} \frac{\mathbf{k}^2}{m_D^2}$$

M_B = baryon mass; m_D = diquark mass

Nucleon scalar wave function:

$$\begin{aligned}\psi_N(P, k) &= \frac{N_0}{m_D} \frac{1}{(\beta_1 + \chi_N)(\beta_2 + \chi_N)} = \frac{N_0}{m_D} \frac{1}{\beta_2 - \beta_1} \left[\frac{1}{\beta_1 + \chi_N} - \frac{1}{\beta_2 + \chi_N} \right] \\ &\xrightarrow{NR} \frac{N_0}{m_D} \frac{1}{\beta_2 - \beta_1} \left[\frac{1}{\beta_1 + \frac{\mathbf{k}^2}{m_D^2}} - \frac{1}{\beta_2 + \frac{\mathbf{k}^2}{m_D^2}} \right]\end{aligned}$$

Position space:

$$\psi_N(P, k) \xrightarrow{FT} \frac{e^{-m_D \sqrt{\beta_1} r}}{r} - \frac{e^{-m_D \sqrt{\beta_2} r}}{r}$$

β_1 , β_2 momentum range parameters; $\beta_2 > \beta_1$:

β_1 long spatial range; β_2 short spatial range

Nucleon form factors (I) ††

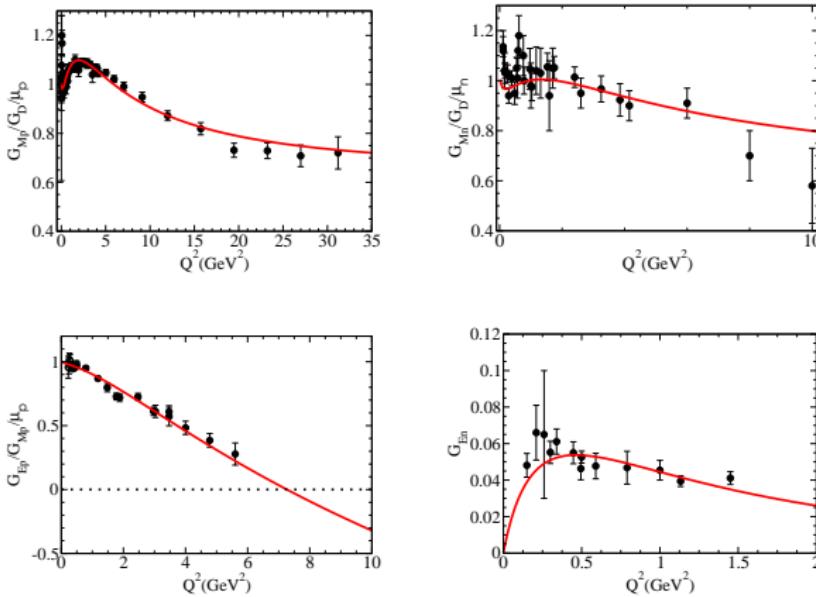
F Gross, GR and MT Peña, PRC 77, 015202 (2008)

Nucleon form factors: $G_E = F_1 - \tau F_2$, $G_M = F_1 + F_2$; $\tau = \frac{Q^2}{4M_N^2}$

$$G_E(Q^2) = \frac{1}{2} [(\textcolor{blue}{f}_{1+} + \textcolor{red}{f}_{1-}\tau_3) - \tau(\textcolor{blue}{f}_{1+} + \textcolor{blue}{f}_{2-}\tau_3)] \int_k \psi_N(P_+, k) \psi_N(P_-, k)$$

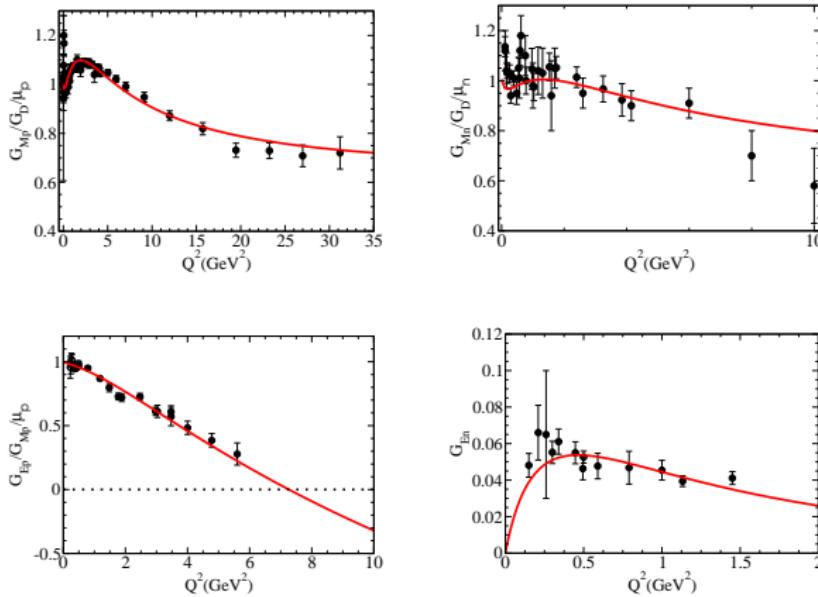
$$G_M(Q^2) = \frac{1}{6} [(\textcolor{blue}{f}_{1+} + 5\textcolor{red}{f}_{1-}\tau_3) + (\textcolor{blue}{f}_{1+} + 5\textcolor{blue}{f}_{2-}\tau_3)] \int_k \psi_N(P_+, k) \psi_N(P_-, k)$$

Nucleon form factors (II) [PRC 77, 015202 (2008)] - model II



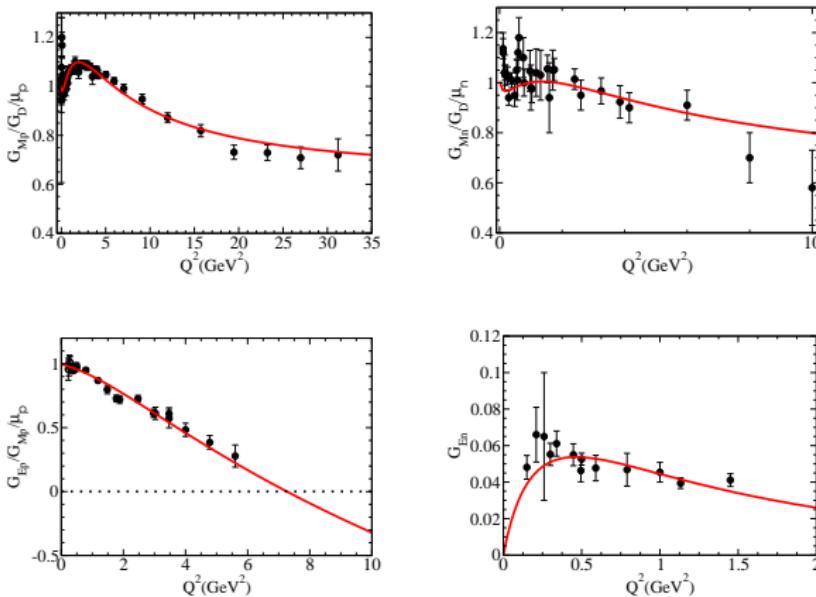
- Quark current fix 4 parameters; Scalar wave function [2]

Nucleon form factors (II) [PRC 77, 015202 (2008)] - model II



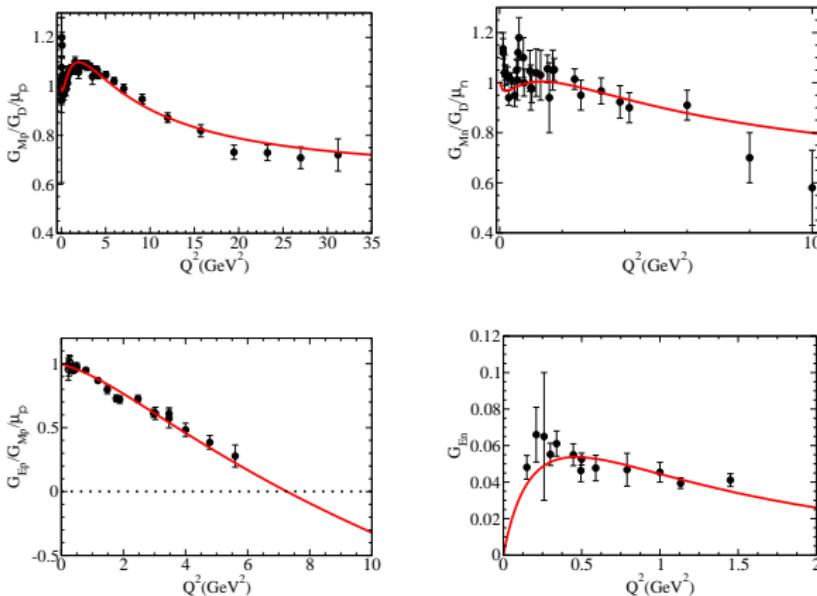
- Quark current fix 4 parameters; Scalar wave function [2]
- No pion cloud (explicit)

Nucleon form factors (II) [PRC 77, 015202 (2008)] - model II



- Quark current fix 4 parameters; Scalar wave function [2]
- No pion cloud (explicit)
- How can we test the valence quark parametrization?

Nucleon form factors (II) [PRC 77, 015202 (2008)] - model II



- Quark current fix 4 parameters; Scalar wave function [2]
- No pion cloud (explicit)
- How can we test the valence quark parametrization? Lattice

Extension of the model for lattice QCD regime

GR and MT Peña JPG 36, 115011 (2009)

- Quark current (VMD): $j_q^\mu = j_1 \gamma^\mu + j_2 \frac{i\sigma^{\mu\nu} q_\nu}{2M_N}$

Replace hadron masses by lattice masses ($M_N, m_\rho, M_h = 2M_N$)

$$j_q^\mu(M_N; m_\rho, M_h = 2M_N) \rightarrow j_q^\mu(M_N^{latt}; m_\rho^{latt}, 2M_N^{latt})$$

- Wave functions:

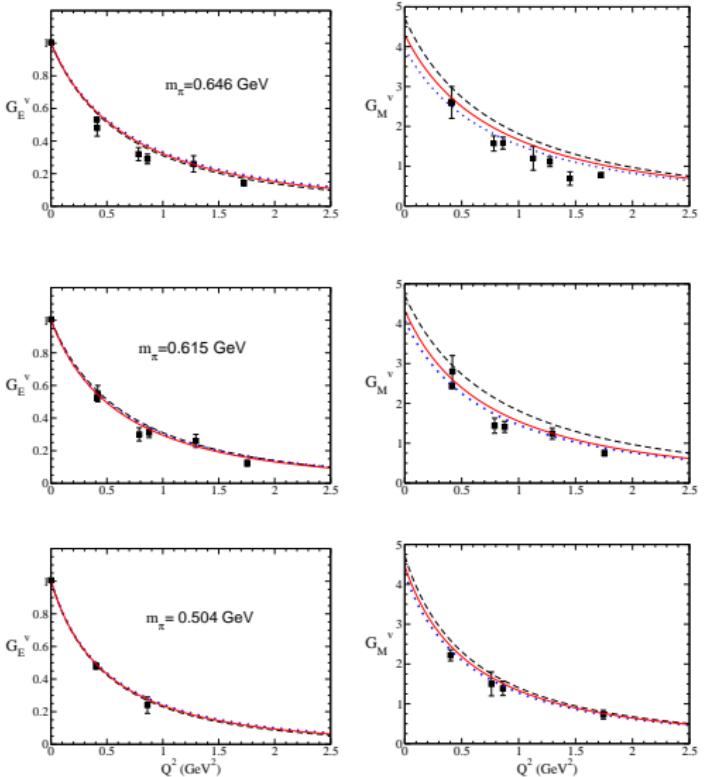
$$\Psi_B(\{M_B\}) \rightarrow \Psi_B(\{M_B^{latt}\})$$

⇒ Form factors $G_X(m_\pi^{latt}, Q^2)$

If meson cloud are suppressed: $G_X \equiv G_X^B$

Compare G_X^B -model with lattice data

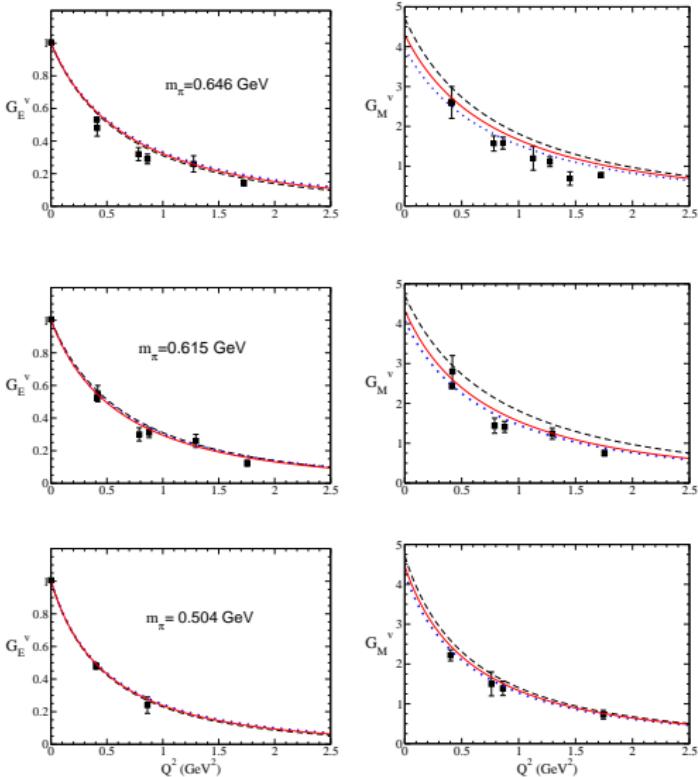
Nucleon form factors on lattice [JPG 36, 115011 (2009)] G_X^{p-n}



Data from Gockeler et al, PRD 71, 034508 (2005) - - - Model II



Nucleon form factors on lattice [JPG 36, 115011 (2009)] G_X^{p-n}



Describes physical and lattice QCD regimes - - - Model II

- $N(1440)$ is the **1st radial excitation** of the nucleon
Same spin and isospin structure as the nucleon; $\psi_R \neq \psi_N$
- Ψ_R orthogonal to Ψ_N
Orthogonality given by scalar wave functions

$$\int_k \psi_R(P_+, k) \psi_N(P_-, k) \Big|_{Q^2=0} = 0$$

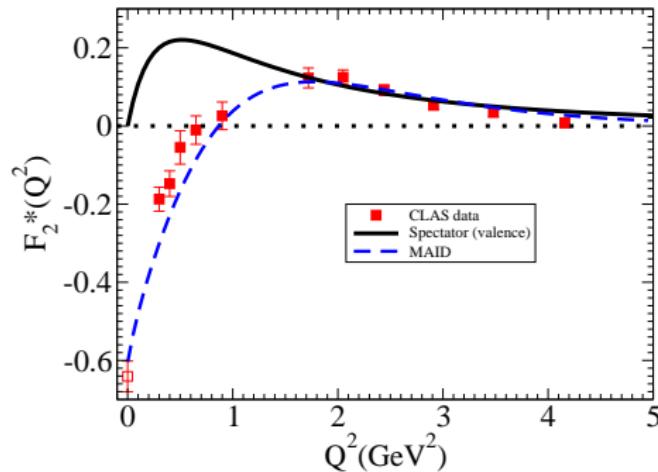
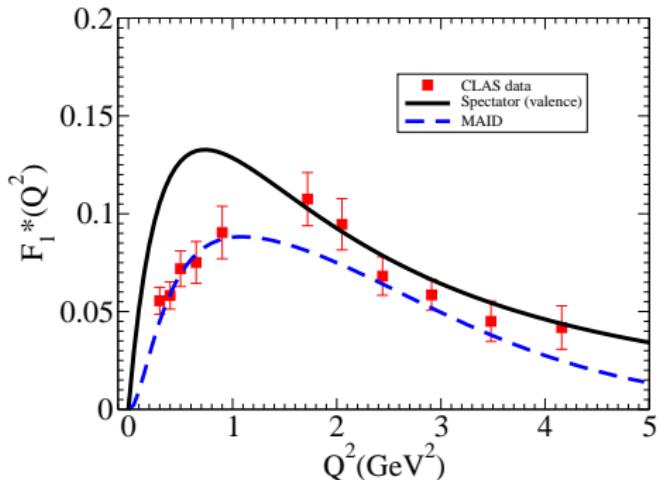
Wave function:

$$\psi_R(\chi_R) = N_1 \underbrace{\frac{\beta_3 - \chi_R}{\beta_1 + \chi_R}}_{\text{radial excitation}} \psi_N(\chi_R)$$

β_1 fixed by ψ_N ; β_3 determined by the **orthogonality condition**

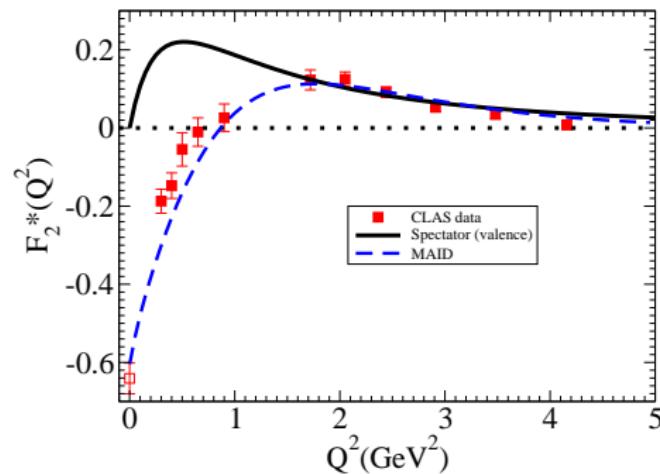
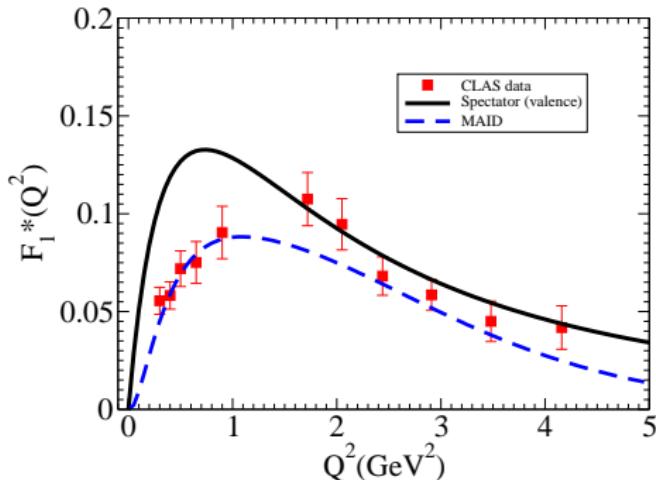
No adjustable parameters \rightarrow predictions

$\gamma N \rightarrow N(1440)$ form factors [PRD 81, 074020 (2010)]

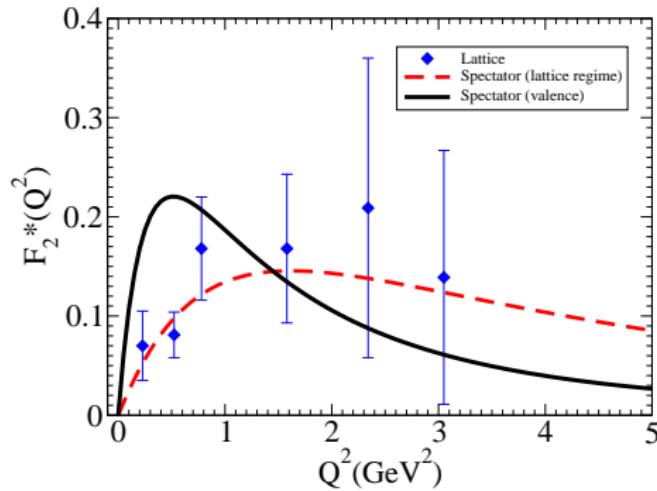
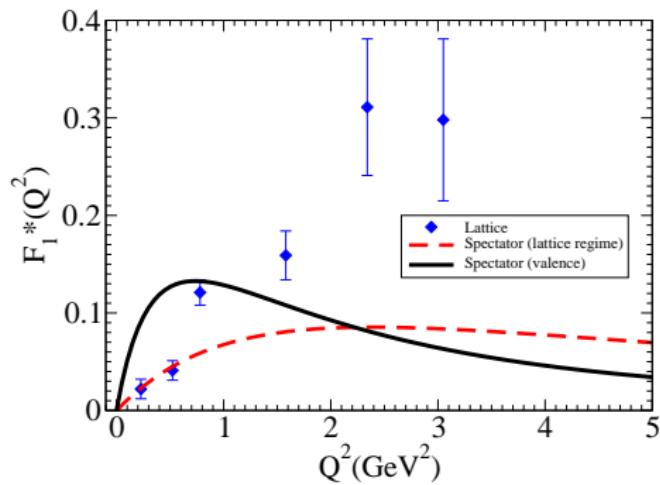


- CLAS data - Aznauryan et al PRC 80, 055203 (2009), MAID fit
- Good agreement for $Q^2 > 1.5$ GeV 2
- Difference for $Q^2 < 1.5$ GeV 2 –manifestation of meson cloud
- Good description also of lattice data (H.W. Lin et al)

$\gamma N \rightarrow N(1440)$ form factors [PRD 81, 074020 (2010)]



- CLAS data - Aznauryan et al PRC 80, 055203 (2009), MAID fit
- Good agreement for $Q^2 > 1.5$ GeV 2
- Difference for $Q^2 < 1.5$ GeV 2 –manifestation of meson cloud
- Good description also of lattice data (H.W. Lin et al) Valence



Data: H.W. Lin et al PRD 78, 114508 (2008)

Good agreement with Lattice data

GR and K Tsushima, PRD 81, 074020 (2010)

- Pointlike diquark $k_1 - k_2 = 0$ [no diquark w/ P-states] $J^P = \frac{1}{2}^-$
- Pure spin 1/2 core: [Karl-Isgur model: $\cos \theta_S \approx 0.85$]

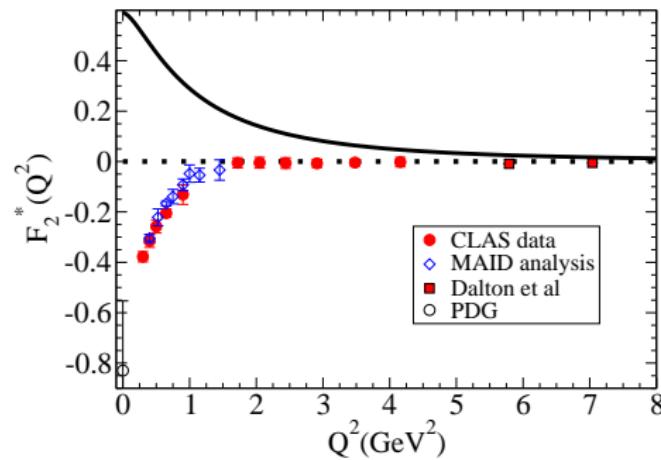
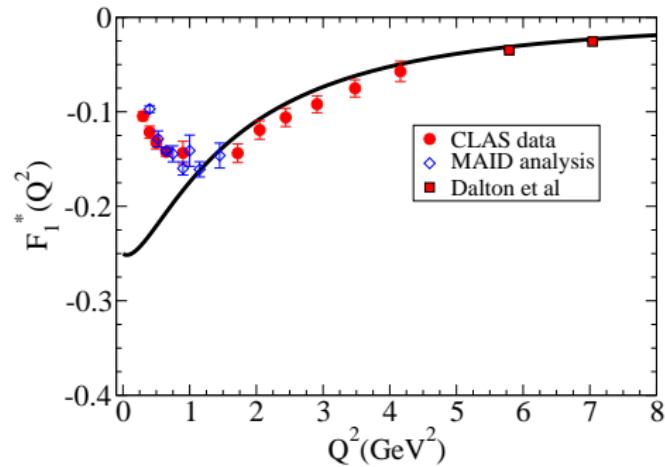
$$\begin{aligned}|N(1535)\rangle &= \cos \theta_S |S = 1/2\rangle - \sin \theta_S |S = 3/2\rangle \\ &\rightarrow |S = 1/2\rangle\end{aligned}$$

- Radial wave function: $\psi_{S11}(\chi_{S11}) \equiv \psi_N(\chi_{S11})$
- Form factors: (\mathcal{I} = overlap integral - S11 rest frame)

$$F_i^* \propto \mathcal{I}(Q^2) = \int_k \frac{k_z}{|\mathbf{k}|} \psi_{S11}(P_{S11}, k) \psi_N(P_N, k)$$

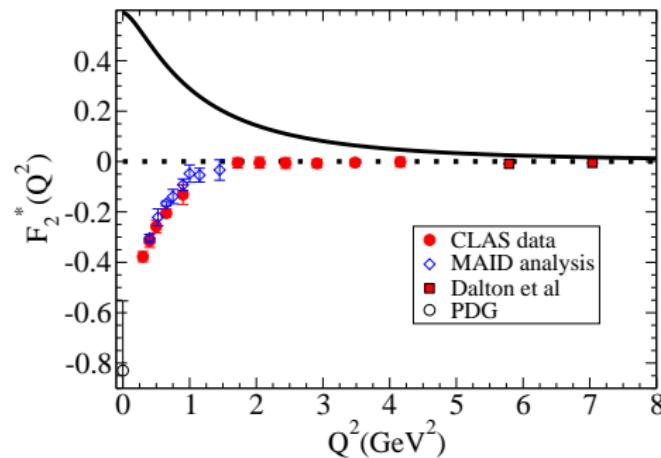
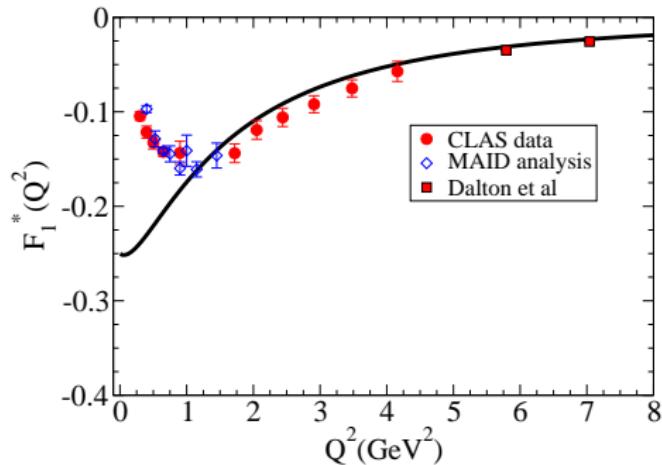
- At $Q^2 = 0$: $\mathcal{I}(0) \propto |\mathbf{q}|_0 = \frac{M_R^2 - M^2}{2M_R} \neq 0$
- No exact orthogonality ($\mathcal{I}(0) \neq 0$)
Approximated orthogonality $Q^2 \gg |\mathbf{q}|_0^2 \approx 0.23 \text{ GeV}^2$
Model valid for $Q^2 > 2.3 \text{ GeV}^2$

$\gamma^* N \rightarrow N^*(1535)$ form factors [PRD 84, 051301 (2011)]



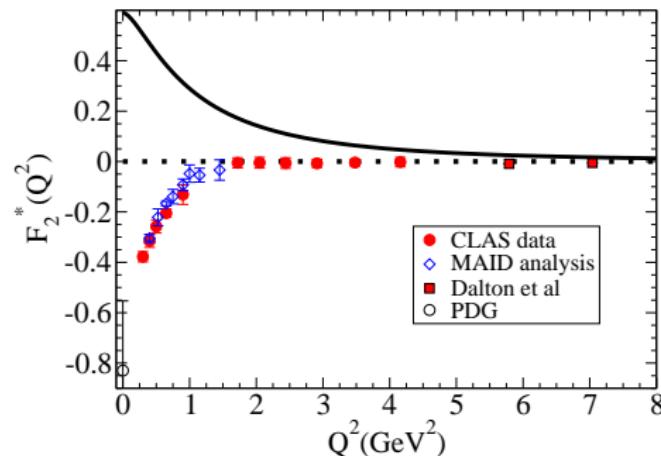
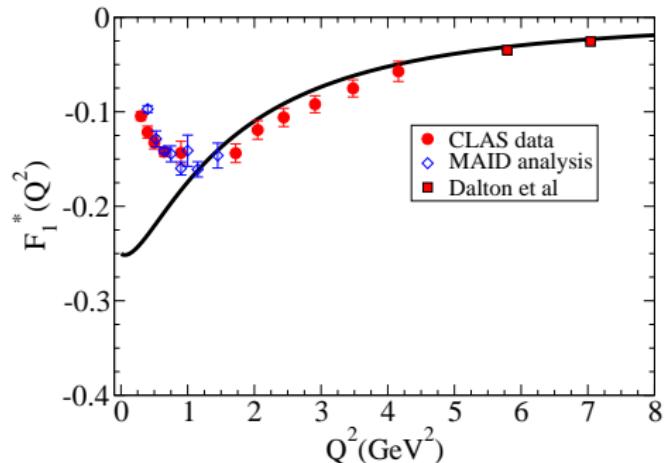
- Model compared with **CLAS** and **MAID** data

$\gamma^* N \rightarrow N^*(1535)$ form factors [PRD 84, 051301 (2011)]



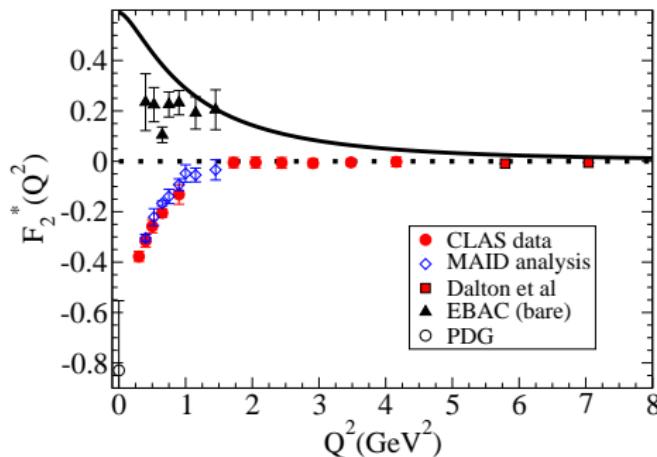
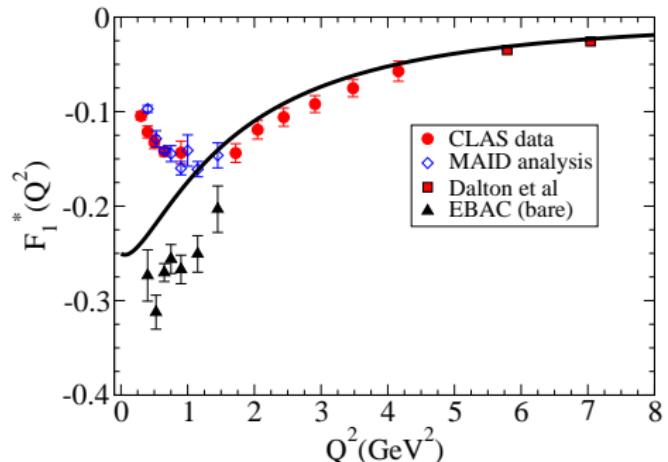
- Model compared with **CLAS** and **MAID** data
- F_1^* OK; F_2^* wrong sign

$\gamma^* N \rightarrow N^*(1535)$ form factors [PRD 84, 051301 (2011)]



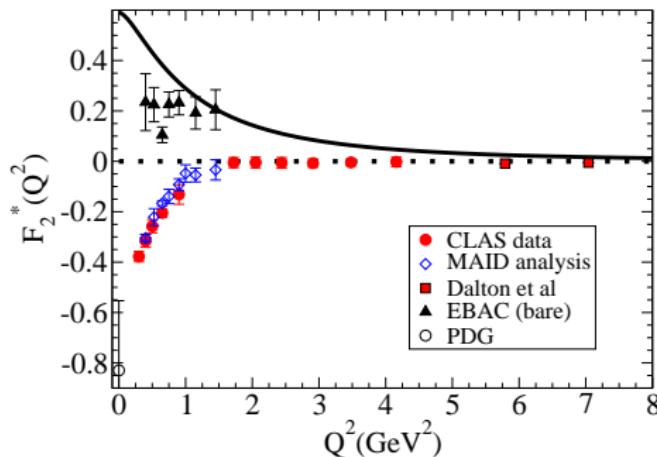
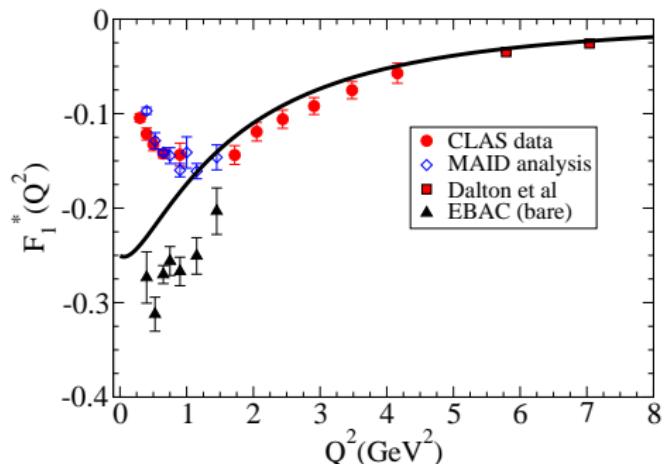
- Model compared with **CLAS** and **MAID** data
- F_1^* OK; F_2^* wrong sign
- F_2^* close to EBAC \blacktriangle (core estimate: mc removed)
- ...

$\gamma^* N \rightarrow N^*(1535)$ form factors [PRD 84, 051301 (2011)]



- Model compared with CLAS and MAID data
- F_1^* OK; F_2^* wrong sign
- F_2^* close to EBAC \blacktriangle (core estimate: mc removed)

$\gamma^* N \rightarrow N^*(1535)$ form factors [PRD 84, 051301 (2011)]



- Model compared with CLAS and MAID data
- F_1^* OK; F_2^* wrong sign
- F_2^* close to EBAC \blacktriangle (core estimate: mc removed)
- Valence quark effects under control

Implications of $F_2^* = 0$?

Cancellation between
valence and meson cloud

GR, K Tsushima

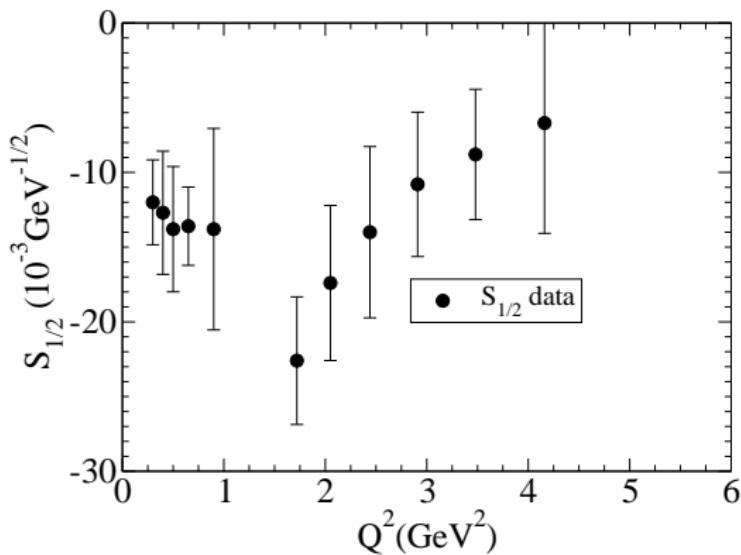
PRD 84, 051301 (2011)

GR, D Jido, K Tsushima

PRD 85, 093014 (2012)

$$\tau = \frac{Q^2}{(M_R + M)^2} \quad Q^2 > 1.5 \text{ GeV}^2$$

$$S_{1/2} \simeq -\frac{\sqrt{1+\tau}}{\sqrt{2}} \frac{M_S^2 - M^2}{2M_S Q} A_{1/2}$$



Implications of $F_2^* = 0$?

Cancellation between
valence and meson cloud

GR, K Tsushima

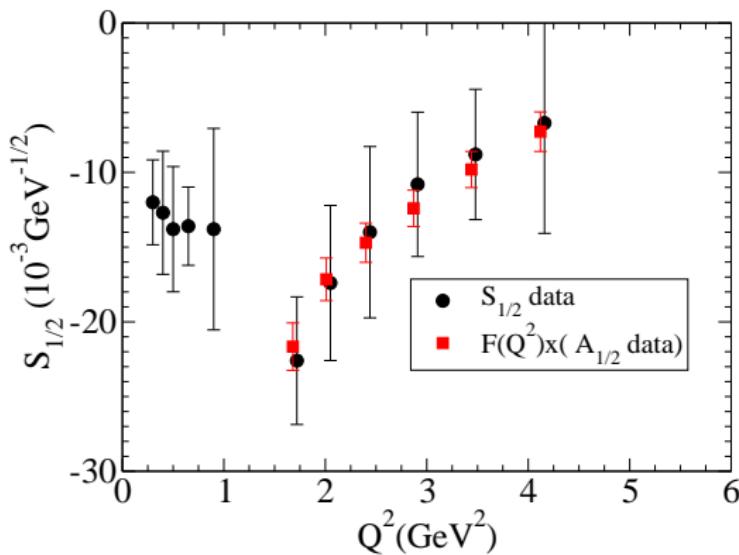
PRD 84, 051301 (2011)

GR, D Jido, K Tsushima

PRD 85, 093014 (2012)

$$\tau = \frac{Q^2}{(M_R + M)^2} \quad Q^2 > 1.5 \text{ GeV}^2$$

$$S_{1/2} \simeq -\frac{\sqrt{1+\tau}}{\sqrt{2}} \frac{M_S^2 - M^2}{2M_S Q} A_{1/2}$$



Implications of $F_2^* = 0$?

Cancellation between
valence and meson cloud

GR, K Tsushima

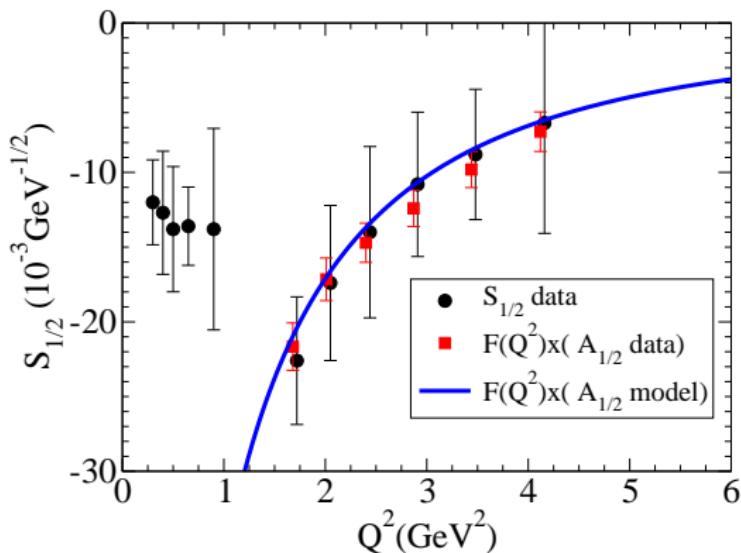
PRD 84, 051301 (2011)

GR, D Jido, K Tsushima

PRD 85, 093014 (2012)

$$\tau = \frac{Q^2}{(M_R + M)^2} \quad Q^2 > 1.5 \text{ GeV}^2$$

$$S_{1/2} \simeq -\frac{\sqrt{1+\tau}}{\sqrt{2}} \frac{M_S^2 - M^2}{2M_S Q} A_{1/2}$$



Spin 3/2 resonances: $\Delta(1232)$ wave functions

- Δ wave function: EPJA 36, 329 (2008); PRD 78, 114017 (2008); PRD 80, 013008 (2009)

$$\Psi_{\Delta} = N [\Psi_S + a\Psi_{D3} + b\Psi_{D1}]$$

$$S = 0 \oplus \frac{3}{2}, \quad D3 = 2 \oplus \frac{3}{2}, \quad D1 = 2 \oplus \frac{1}{2}$$

- Wave functions fitted to form factor data $[G_M^*, G_E^*, G_C^*]$
(Radial wave functions: $\alpha_S, \alpha_{D3}, \alpha_{D1}, \alpha'_{D1}$)

Spin 3/2 resonances: $\Delta(1232)$ wave functions

- Δ wave function: EPJA 36, 329 (2008); PRD 78, 114017 (2008); PRD 80, 013008 (2009)

$$\Psi_{\Delta} = N [\Psi_S + a\Psi_{D3} + b\Psi_{D1}]$$

$$S = 0 \oplus \frac{3}{2}, \quad D3 = 2 \oplus \frac{3}{2}, \quad D1 = 2 \oplus \frac{1}{2}$$

- Wave functions fitted to form factor data [G_M^* , G_E^* , G_C^*]
(Radial wave functions: $\alpha_S, \alpha_{D3}, \alpha_{D1}, \alpha'_{D1}$)
- With D-states: small effect

Spin 3/2 resonances: $\Delta(1232)$ wave functions

- Δ wave function: EPJA 36, 329 (2008); PRD 78, 114017 (2008); PRD 80, 013008 (2009)

$$\Psi_{\Delta} = N [\Psi_S + a\Psi_{D3} + b\Psi_{D1}]$$

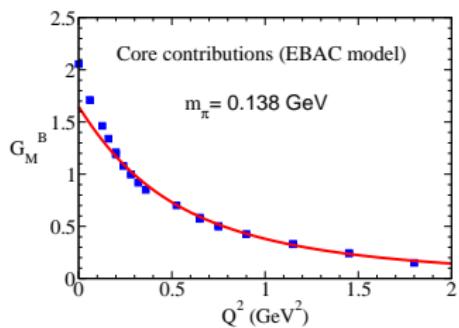
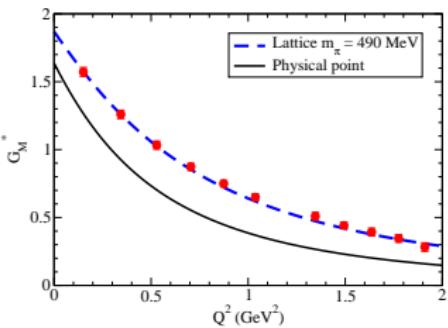
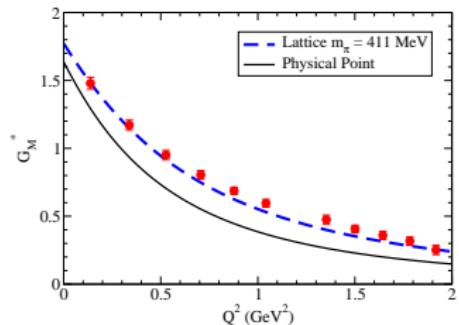
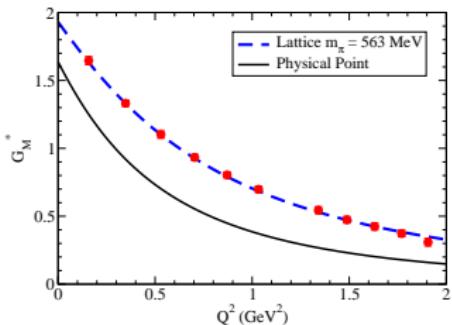
$$S = 0 \oplus \frac{3}{2}, \quad D3 = 2 \oplus \frac{3}{2}, \quad D1 = 2 \oplus \frac{1}{2}$$

- Wave functions fitted to form factor data [G_M^* , G_E^* , G_C^*]
(Radial wave functions: $\alpha_S, \alpha_{D3}, \alpha_{D1}, \alpha'_{D1}$)
- With D-states: small effect
- S-state model: $\Rightarrow G_M^*$:

$$G_M^B = \frac{8}{3\sqrt{3}} \frac{M}{M + M_{\Delta}} f_v \int_k \psi_{\Delta} \psi_N, \quad f_v = f_{1-} + \frac{M + M_{\Delta}}{2M} f_{2-}$$

Fit EBAC (core): J Diaz et al, PRC 75, 015205 (2007)

$\gamma N \rightarrow \Delta$: $G_M^*(Q^2)$ on lattice [PRD 80, 013008 (2009)]

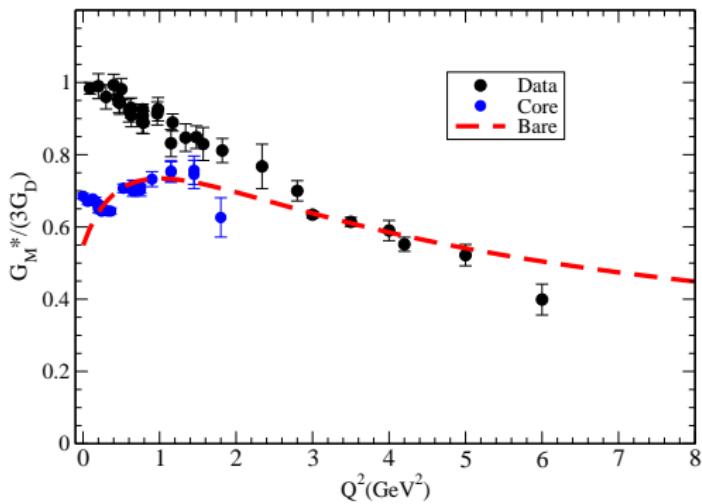


EBAC: J. Diaz et al, PRC 75, 015205 (2007) \oplus

Lattice: Alexandrou et al, PRD 77, 085012 (2008)

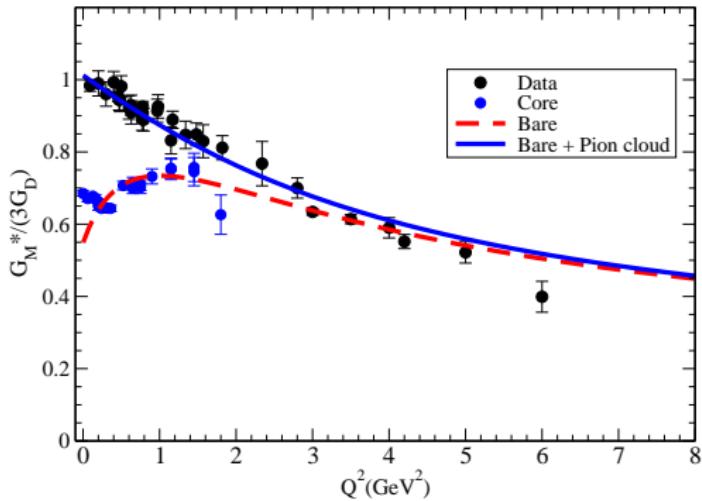
$\gamma N \rightarrow \Delta: G_M^*(Q^2)$ (valence)

GR and MT Peña PRD 80, 013008 (2009) $G_D = 1 / (1 + Q^2/0.71)^2$



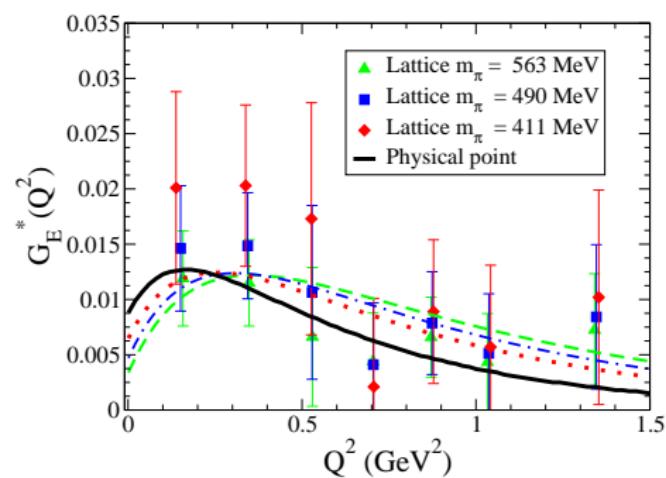
- Bare \approx EBAC model

GR and MT Peña PRD 80, 013008 (2009) $G_D = 1 / (1 + Q^2/0.71)^2$

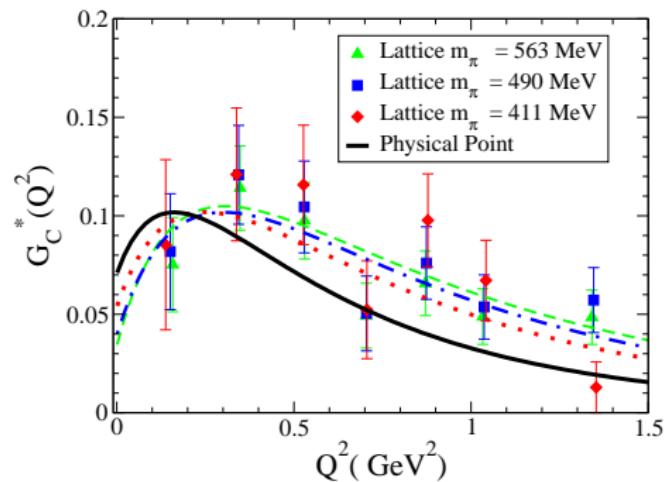


- Bare \approx EBAC model \oplus $G_M^\pi = \lambda_\pi \left(\frac{\Lambda_\pi^2}{\Lambda_\pi^2 + Q^2} \right)^2 (3G_D)$ $\frac{G_M^B(0)}{3G_D} \leq 0.7$

Fit to lattice QCD data (bare contribution)
Alexandrou et al, PRD, 77, 085012 (2008)

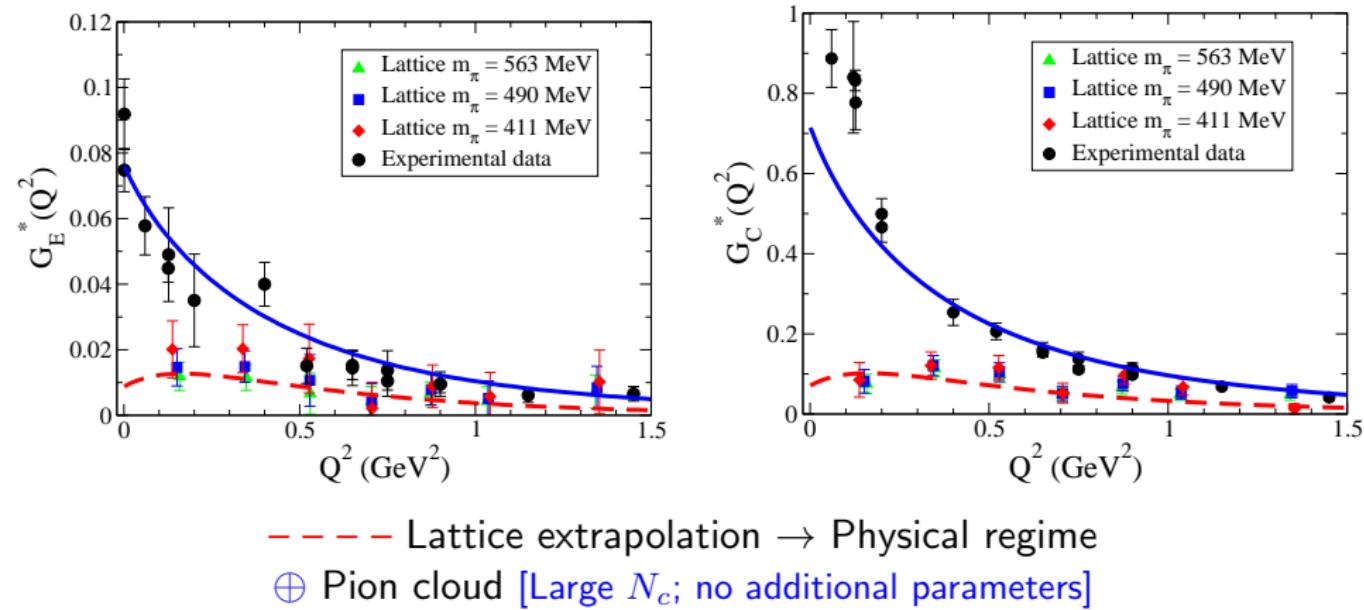


D3 state: 0.72%



D1 state: 0.72%

$\gamma N \rightarrow \Delta$: $G_E^*(Q^2)$, $G_C^*(Q^2)$ (bare + pion cloud) ††



AJ Buchmann et al, PRD 66, 056002 (2002); V Pascalutsa and M Vanderhaeghen, PRD 76, 111501 (2007)

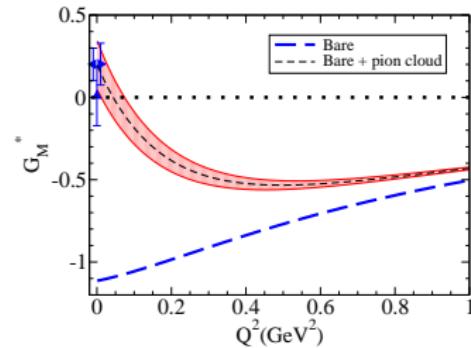
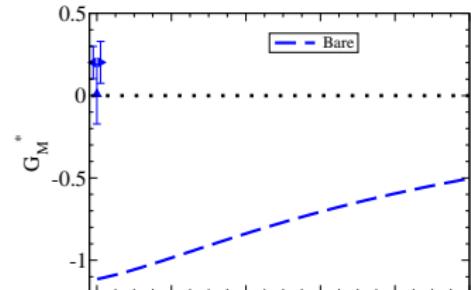
Pion cloud dominant; Good global description ($Q^2 < 1.5 \text{ GeV}^2$)

$\Delta(1600)$ as the **1st radial** excitation
of $\Delta(1232)$ EPJA, 36, 329 (2008) [S-state]
 $G_E^* \equiv 0, G_C^* \equiv 0$

Bare : $G_M^B(0) = -1.113$

SU(3) symmetry \Rightarrow **π cloud effects**

Decay	BR
$\Delta(1600) \rightarrow \pi N$	0.153 ± 0.019
$\Delta(1600) \rightarrow \pi \Delta$	0.590 ± 0.100
$\Delta(1600) \rightarrow \pi N(1440)$	0.130 ± 0.040



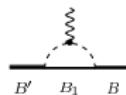
- More general case (no pointlike diquark limit) $J^P = \frac{3}{2}^-$
- Orthogonality assured by radial wave function ψ_R

$$\int_k \frac{k_z}{|\mathbf{k}|} \psi_R(P_R, k) \psi_N(P_N, k) \Big|_{Q^2=0} = 0 \quad (R \text{ rest frame})$$

One parameter to fit to high Q^2 data: β_4 (small mc effects)

$$\psi_R \approx \frac{1}{m_D(\beta_2 + \chi)} \left\{ \frac{1}{\beta_1 + \chi} - \frac{\lambda_R}{\beta_4 + \chi} \right\}$$

- Valence quarks are not sufficient to explain the data ($A_{3/2} \neq 0$): exclusive of CSQM
- ⇒ Include phenomenological parametrizations of the meson cloud at low Q^2

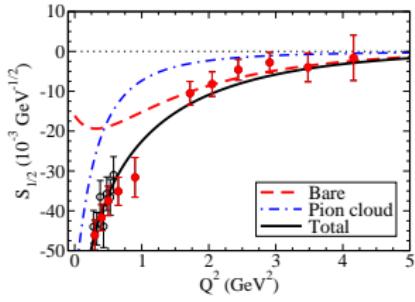
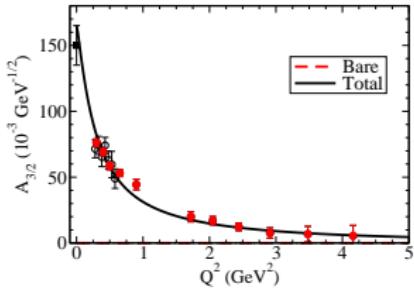
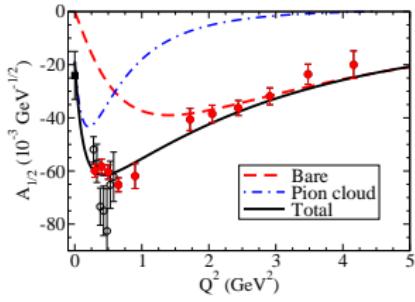


$\gamma^* N \rightarrow N(1520)$ form factors [arXiv:1309.0730]

$A_{1/2}$

$A_{3/2}$

$S_{1/2}$



— Bare; — Bare plus meson cloud

$A_{1/2}, S_{1/2}$: Valence quarks \Rightarrow good description for $Q^2 > 1.5 \text{ GeV}^2$

$A_{3/2}$ \Leftarrow Meson cloud

Conclusions

- Quark model (calibrated by Nucleon and $\gamma N \rightarrow \Delta$ data)

Conclusions

- Quark model (calibrated by Nucleon and $\gamma N \rightarrow \Delta$ data)
 - Good description of $N(939)$, $N(1440)$, $N(1535)$ data [No extra parameters]
Large $Q^2 \oplus$ lattice data
 - Valence quark degrees of freedom under control

Conclusions

- Quark model (calibrated by Nucleon and $\gamma N \rightarrow \Delta$ data)
 - Good description of $N(939)$, $N(1440)$, $N(1535)$ data [No extra parameters]
Large $Q^2 \oplus$ lattice data
Valence quark degrees of freedom under control
 - Good fit to $N(1520)$ data (adjust radial wave function; 1 parameter)
Meson cloud important

Conclusions

- Quark model (calibrated by Nucleon and $\gamma N \rightarrow \Delta$ data)
 - Good description of $N(939)$, $N(1440)$, $N(1535)$ data [No extra parameters]
Large $Q^2 \oplus$ lattice data
Valence quark degrees of freedom under control
 - Good fit to $N(1520)$ data (adjust radial wave function; 1 parameter)
Meson cloud important
 - Good description of $\Delta(1232)$, $\Delta(1600)$ data
Pion cloud very important
Lattice data [$\gamma^* N \rightarrow \Delta(1232)$ and also $\Delta\Delta$]
Dominance of valence quark for $Q^2 > 2 \text{ GeV}^2$

Conclusions

- Quark model (calibrated by Nucleon and $\gamma N \rightarrow \Delta$ data)
 - Good description of $N(939)$, $N(1440)$, $N(1535)$ data [No extra parameters]
Large $Q^2 \oplus$ lattice data
Valence quark degrees of freedom under control
 - Good fit to $N(1520)$ data (adjust radial wave function; 1 parameter)
Meson cloud important
 - Good description of $\Delta(1232)$, $\Delta(1600)$ data
Pion cloud very important
Lattice data [$\gamma^* N \rightarrow \Delta(1232)$ and also $\Delta\Delta$]
Dominance of valence quark for $Q^2 > 2 \text{ GeV}^2$
- Perspective of extension to other resonances

Conclusions

- Quark model (calibrated by Nucleon and $\gamma N \rightarrow \Delta$ data)
 - Good description of $N(939)$, $N(1440)$, $N(1535)$ data [No extra parameters]
Large $Q^2 \oplus$ lattice data
Valence quark degrees of freedom under control
 - Good fit to $N(1520)$ data (adjust radial wave function; 1 parameter)
Meson cloud important
 - Good description of $\Delta(1232)$, $\Delta(1600)$ data
Pion cloud very important
Lattice data [$\gamma^* N \rightarrow \Delta(1232)$ and also $\Delta\Delta$]
Dominance of valence quark for $Q^2 > 2$ GeV 2
- Perspective of extension to other resonances
- Model applied to several electromagnetic reactions
(including baryons with **strange quarks**): octet, decuplet (Δ , Ω^-),
octet-decuplet, $\gamma^* \Lambda \rightarrow \Lambda(1670)$, Δ Dalitz decay ($\Delta \rightarrow e^+ e^- N$), DIS

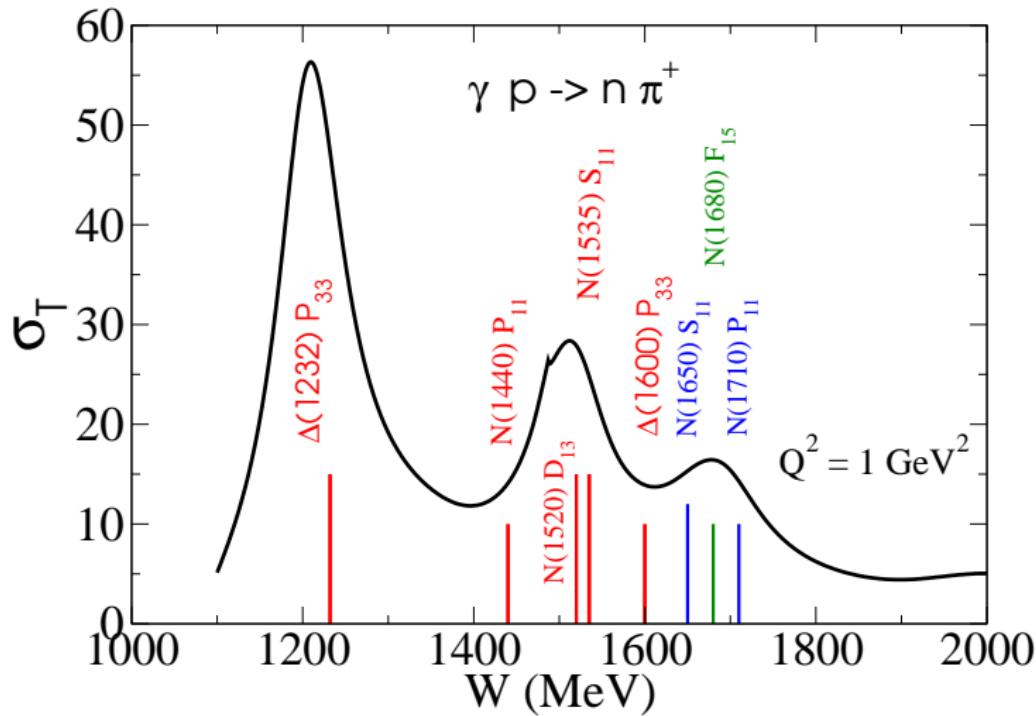
Conclusions

- Quark model (calibrated by Nucleon and $\gamma N \rightarrow \Delta$ data)
 - Good description of $N(939)$, $N(1440)$, $N(1535)$ data [No extra parameters]
Large $Q^2 \oplus$ lattice data
Valence quark degrees of freedom under control
 - Good fit to $N(1520)$ data (adjust radial wave function; 1 parameter)
Meson cloud important
 - Good description of $\Delta(1232)$, $\Delta(1600)$ data
Pion cloud very important
Lattice data [$\gamma^* N \rightarrow \Delta(1232)$ and also $\Delta\Delta$]
Dominance of valence quark for $Q^2 > 2$ GeV 2
- Perspective of extension to other resonances
- Model applied to several electromagnetic reactions (including baryons with **strange quarks**): octet, decuplet (Δ , Ω^-), octet-decuplet, $\gamma^* \Lambda \rightarrow \Lambda(1670)$, Δ Dalitz decay ($\Delta \rightarrow e^+ e^- N$), DIS

Thank you



Nucleon Resonance Structure



Selected bibliography (part 1)

- **A pure S-wave covariant model for the nucleon,**
F. Gross, G. Ramalho and M. T. Peña, Phys. Rev. C **77**, 015202 (2008)
[arXiv:nucl-th/0606029].
- **Fixed-axis polarization states: covariance and comparisons,**
F. Gross, G. Ramalho and M. T. Peña, Phys. Rev. C **77**, 035203 (2008).
- **Covariant nucleon wave function with S, D, and P-state components,**
F. Gross, G. Ramalho and M. T. Peña, Phys. Rev. D **85**, 093005 (2012)
[arXiv:1201.6336 [hep-ph]].
- **A covariant formalism for the N^* electroproduction at high momentum transfer, Review**
G. Ramalho, F. Gross, M. T. Peña and K. Tsushima,
Exclusive Reactions and High Momentum Transfer IV, 287 (2011)
[arXiv:1008.0371 [hep-ph]].
- **Studies of Nucleon Resonance Structure in Exclusive Meson Electroproduction, Review (pages 87-92)**
I. G. Aznauryan et al, Int. J. Mod. Phys. E **22**, 1330015 (2013)
[arXiv:1212.4891 [nucl-th]].

Selected bibliography (part 2)

- **A Covariant model for the nucleon and the Δ ,**
G. Ramalho, M. T. Peña and F. Gross, Eur. Phys. J. A **36**, 329 (2008)
[arXiv:0803.3034 [hep-ph]].
- **D-state effects in the electromagnetic $N\Delta$ transition,**
G. Ramalho, M. T. Peña and F. Gross, Phys. Rev. D **78**, 114017 (2008)
[arXiv:0810.4126 [hep-ph]].
- **Valence quark contribution for the $\gamma N \rightarrow \Delta$ quadrupole transition extracted from lattice QCD,**
G. Ramalho and M. T. Peña, Phys. Rev. D **80**, 013008 (2009)
[arXiv:0901.4310 [hep-ph]].
- **Nucleon and $\gamma N \rightarrow \Delta$ lattice form factors in a constituent quark model,**
G. Ramalho and M. T. Peña, J. Phys. G **36**, 115011 (2009)
[arXiv:0812.0187 [hep-ph]].

Selected bibliography (part 3)

- **Valence quark contributions for the $\gamma N \rightarrow P_{11}(1440)$ form factors,**
G. Ramalho and K. Tsushima, Phys. Rev. D **81**, 074020 (2010)
[arXiv:1002.3386 [hep-ph]].
- **A model for the $\Delta(1600)$ resonance and $\gamma N \rightarrow \Delta(1600)$ transition,**
G. Ramalho and K. Tsushima, Phys. Rev. D **82**, 073007 (2010)
[arXiv:1008.3822 [hep-ph]].
- **A covariant model for the $\gamma N \rightarrow N(1535)$ transition at high momentum transfer,**
G. Ramalho and M. T. Peña, Phys. Rev. D **84**, 033007 (2011)
[arXiv:1105.2223 [hep-ph]].
- **A simple relation between the $\gamma N \rightarrow N(1535)$ helicity amplitudes,**
G. Ramalho and K. Tsushima, Phys. Rev. D **84**, 051301 (2011)
[arXiv:1105.2484 [hep-ph]].
- **Valence quark and meson cloud contributions to the $\gamma^* N \rightarrow N^*(1520)$ form factors,**
G. Ramalho and M. T. Pea, arXiv:1309.0730 [hep-ph].

Selected bibliography (part 4)

- **Valence quark and meson cloud contributions for the $\gamma^*\Lambda \rightarrow \Lambda^*$ and $\gamma^*\Sigma^0 \rightarrow \Lambda^*$ reactions,**
G. Ramalho, D. Jido and K. Tsushima, Phys. Rev. D **85**, 093014 (2012)
[arXiv:1202.2299 [hep-ph]].
- **Electromagnetic form factors of the Δ with D-waves,**
G. Ramalho, M. T. Peña and F. Gross, Phys. Rev. D **81**, 113011 (2010)
[arXiv:1002.4170 [hep-ph]].
- **The shape of the Δ baryon in a covariant spectator quark model,**
G. Ramalho, M. T. Peña and A. Stadler, Phys. Rev. D **86**, 093022 (2012)
[arXiv:1207.4392 [nucl-th]].
- **A Relativistic quark model for the Ω^- electromagnetic form factors,**
G. Ramalho, K. Tsushima and F. Gross, Phys. Rev. D **80**, 033004 (2009)
[arXiv:0907.1060 [hep-ph]].

More information

Other applications

**Strange baryons: octet, decuplet (Δ, Ω) Δ Dalitz decay;
Nucleon DIS, ...**

Octet to decuplet electromagnetic transition $\gamma^* B \rightarrow B'$

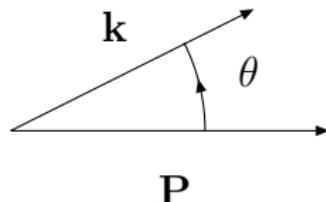
	$G_M^B(0)$	$G_M^{\text{MC}}(0)$	$G_M(0)$	$ G_M(0) _{\text{exp}}$
$\gamma^* N \rightarrow \Delta$	1.63	1.38	3.02	3.04 ± 0.11
$\gamma^* \Lambda \rightarrow \Sigma^{*0}$	1.68	1.40	3.08	3.26 ± 0.37
				3.35 ± 0.57
$\gamma^* \Sigma^+ \rightarrow \Sigma^{*+}$	2.09	1.13	3.22	4.10 ± 0.57
$\gamma^* \Sigma^0 \rightarrow \Sigma^{*0}$	0.97	0.49	1.46	
$\gamma^* \Sigma^- \rightarrow \Sigma^{*-}$	-0.16	-0.15	-0.31	< 0.8
$\gamma^* \Xi^0 \rightarrow \Xi^{*0}$	2.19	1.10	3.29	
$\gamma^* \Xi^- \rightarrow \Xi^{*-}$	-0.19	-0.21	-0.38	

GR and K Tsushima PRD 87, 093011 (2013) [$G_M^B(0)$];

GR and K Tsushima PRD 88, 053002 (2013) [$G_M^{\text{MC}}(0)$]

Diquark polarization states

- Helicity states defined in terms of $\mathbf{k} = (E_k, k \sin \theta, k \cos \theta)$



$\varepsilon_{\mathbf{k}}(\lambda)$ dependent of θ

- Fixed-axis: vector particle is bound to a system with $\mathbf{P} = (P, 0, 0, P)$

$$\varepsilon_P(0) = \begin{bmatrix} P \\ 0 \\ 0 \\ P_0 \end{bmatrix}, \quad \varepsilon_P(\pm) = \mp \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 1 \\ \pm 1 \\ 0 \end{bmatrix}$$

⇒ wave functions with No angular dependence;
F. Gross, GR and M. T. Peña, PRC 77, 035203 (2008)

Electromagnetic current: an example

Nucleon wave function:

$$\Psi_N(P_-, k) = \frac{1}{\sqrt{2}} \left[\Phi_I^0 u(P_-) - (\varepsilon_{P_-}^*)_B U^\beta(P_-) \Phi_I^1 \right] \psi_N(P_-, k)$$

Δ wave function:

$$\Psi_\Delta(P_+, k) = -(\varepsilon_{P_+}^*)_\alpha u^\alpha(P_+) \tilde{\Phi}_I^1 \psi_\Delta(P_+, k)$$

Transition current:

$$J^\mu \propto [\bar{u}_\alpha(P_+) j_q^\mu U_\beta(P_-)] \Delta^{\alpha\beta} \int_k \psi_\Delta(P_+, k) \psi_N(P_-, k)$$

$$\Delta^{\alpha\beta} = \sum_{\lambda_D} \varepsilon_{P_+}^\alpha(\lambda_D) \varepsilon_{P_-}^{\beta*}(\lambda_D)$$

$$= - \left(g^{\alpha\beta} - \frac{P_-^\alpha P_+^\beta}{P_+ \cdot P_-} \right) - a \left(P_- - \frac{P_+ \cdot P_-}{M_\Delta^2} P_+ \right)^\alpha \left(P_+ - \frac{P_+ \cdot P_-}{M^2} P_- \right)^\beta$$

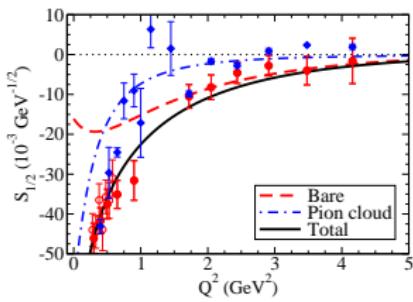
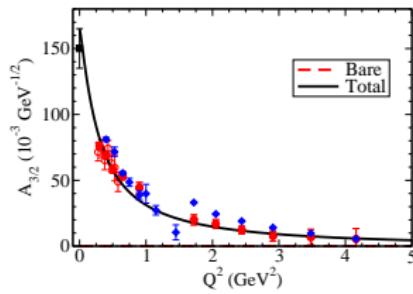
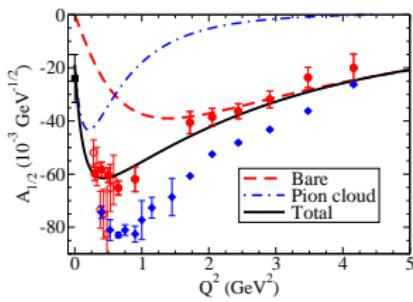
$$a = \frac{M_\Delta M}{P_+ \cdot P_-(M_\Delta M + P_+ \cdot P_-)}$$

$\gamma^* N \rightarrow N(1520)$ amplitudes – Results (II) MAID

$A_{1/2}$

$A_{3/2}$

$S_{1/2}$



— Bare; — Bare plus meson cloud
 $A_{1/2}, S_{1/2}, A_{3/2}$: good description
Discrepancy between CLAS and MAID analysis

Baryon wave function -example: Nucleon isospin

S-state in quark-diquark [PRC 77,015202 (2008)]:

$$\Psi_N(P, k) = \frac{1}{\sqrt{2}} [\Phi_I^0 \Phi_S^0 + \Phi_I^1 \Phi_S^1] \psi_N(P, k)$$

Example $|p \uparrow\rangle$: $u = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$; $\textcolor{red}{x}_I = p = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$$\Phi_I^0 = \frac{1}{\sqrt{2}} [ud - du] u \quad \Phi_I^1 = \frac{1}{\sqrt{6}} [2uud - (ud + du)u]$$

$$\xi^s = \frac{1}{\sqrt{2}} [ud - du]$$

$$\xi^m = \begin{cases} uu & m = +1 \\ \frac{1}{\sqrt{2}} [ud + du] & m = 0 \\ dd & m = -1 \end{cases}$$

$$\Phi_I^0 = \xi^s u \equiv \xi^s \chi_I$$

$$\Phi_I^1 = -\frac{1}{\sqrt{3}} (\tau \cdot \xi) u \equiv -\frac{1}{\sqrt{3}} (\tau \cdot \xi) \chi_I$$

Baryon wave function -example: Nucleon spin (I)

Example $|p \uparrow\rangle$: $\uparrow = \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \chi_s = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

Spin-0: $\Phi_S^0 = \overbrace{\frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow)}^{\varepsilon^s} \uparrow = \varepsilon^s \chi_s$

Relativistic generalization $\rightarrow \varepsilon^s u(P, \uparrow)$

Spin-1: $\Phi_S^1 = \frac{1}{\sqrt{6}} [2 \uparrow\uparrow\downarrow - (\downarrow\uparrow + \uparrow\downarrow) \uparrow] = -\frac{1}{\sqrt{3}} (\sigma \cdot \varepsilon_P^*) \chi_s$

Relativistic generalization $\rightarrow -(\varepsilon_P^*)_\alpha U^\alpha(P, \uparrow)$

ε_P^* in rest frame: $\varepsilon_P^\alpha(0) = (0, 0, 0, 1) \quad \varepsilon_P^\alpha(\pm) = \mp \frac{1}{\sqrt{2}} (0, 1, \pm i, 0)$

\Rightarrow Fixed-axis polarization base

$\Phi_S^{0,1}$ in terms of baryon properties

Baryon wave function -example: Nucleon spin (II)

Covariant form to $U^\alpha(P, s)$

$$U^\alpha(P, s) = \frac{1}{\sqrt{3}} \gamma_5 \left(\gamma^\alpha - \frac{P^\alpha}{M_N} \right) u(P, s) \xrightarrow{NR} -\frac{1}{\sqrt{3}} (\sigma \cdot \varepsilon_P^*) \chi_s$$

Fixed-axis polarization base [F Gross, GR and MT Peña, PRC 77, 015202 (2008)]

$$\varepsilon_P^\alpha(0) = \frac{1}{M_N} \left(P, 0, 0, \sqrt{M_N^2 + P^2} \right) \quad \varepsilon_P^\alpha(\pm) = \mp \frac{1}{\sqrt{2}} (0, 1, \pm i, 0)$$

ε_P^α = function of baryon momentum

Pure angular momentum states; Covariant representation

Δ wave function

S-state:

$$\Psi_S(P, k; s) = -\tilde{\Phi}_I^1 \psi_S(P, k) [(\varepsilon_P^*)^\alpha u_\alpha(P, s)]$$

D-state: $S = 1/2, 3/2$

$$\Psi_D(P, k; s) = -\tilde{\Phi}_I^1 \psi_D(P, k) \left[3(\varepsilon_P^*)_\alpha (\mathcal{P}_S)^{\alpha\beta} W_\beta(P, k, s) \right]$$

$$W^\beta(P, k, s) = \mathcal{D}^{\beta\sigma}(P, k) u_\sigma(P, s), \quad \mathcal{D} = \text{D-state operator}$$

$N^*(1520)$ wave function

$$\Psi_R = \cos \theta_D \Psi_{P1} - \sin \theta_D \Psi_{P3}, \quad \Psi_P = N_P [\phi_I^0 X_\rho + \phi_I^1 X_\lambda] \tilde{\psi}_P(r, k)$$

- Nonrelativistic form (CM): $k_\rho \rightarrow r = \frac{1}{2}(k_1 - k_2)$, $k_\lambda \rightarrow k = k_1 + k_2$
 S Capstick and W Roberts, Prog. Part. Nucl. Phys. **45** S241 (2000)

Case $S = 1/2$

$$X_\rho(s) = \sum_{ms'} \left\langle 1\frac{1}{2}; ms' | \frac{3}{2}s \right\rangle \left[Y_{1m}(r) |s'\rangle_\lambda + Y_{1m}(k) |s'\rangle_\rho \right]$$

$$X_\lambda(s) = \sum_{ms'} \left\langle 1\frac{1}{2}; ms' | \frac{3}{2}s \right\rangle \left[Y_{1m}(r) |s'\rangle_\rho + Y_{1m}(k) |s'\rangle_\lambda \right]$$

Case $S = 3/2$

$$X_\rho(s) = \sum_{ms'} \left\langle 1\frac{1}{2}; ms' | \frac{3}{2}s \right\rangle Y_{1m}(r) \chi_{s'}^S$$

$$X_\lambda(s) = \sum_{ms'} \left\langle 1\frac{1}{2}; ms' | \frac{3}{2}s \right\rangle Y_{1m}(k) \chi_{s'}^S$$

- Relativistic generalization: $U_R^\alpha(P, s) = \frac{1}{\sqrt{3}} \gamma_5 \left(\gamma^\alpha - \frac{P^\alpha}{M_R} \right) u_R(P, s)$

$$k \rightarrow \tilde{k} = k - \frac{P \cdot k}{M_R^2} P$$

$$|s\rangle_\rho \rightarrow u_R(P, s)$$

$$Y_{1m}(k) \rightarrow -\frac{1}{\sqrt{-\tilde{k}^2}} (\varepsilon_{mP} \cdot \tilde{k}) \quad |s\rangle_\lambda \rightarrow -(\varepsilon_{\Lambda P}^*)_\alpha U_R^\alpha(P, s)$$

$$Y_{1m}(r) \rightarrow \zeta_m^\nu$$

$$\chi_s^S \rightarrow -(\varepsilon_{\Lambda P}^*)^\beta u_\beta(P, s)$$

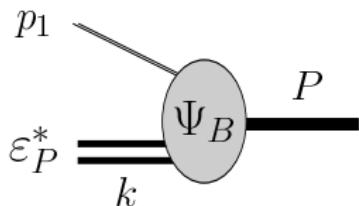
GR and MT Peña PRD **84**, 033007 (2011); arXiv:1309.0730

- ⇒ Reduction to quark-diquark system $\tilde{\psi}_P(r, k) \rightarrow \psi_P(P, k)$

Spectator quark model - Wave functions

- Wave functions: $B = \text{quark} \oplus \text{diquark}$

$$\Psi_B = \sum (\text{flavor}) \otimes (\text{spin}) \otimes (\text{orbital}) \otimes \overbrace{\psi_B(P, k)}^{\text{radial}}$$



Nucleon wave function: [PRC 77,015202 (2008)]

Simplest structure –**S-state** in quark-diquark system

$$\Psi_N(P, k) = \frac{1}{\sqrt{2}} [\Phi_I^0 \Phi_S^0 + \Phi_I^1 \Phi_S^1] \psi_N(P, k)$$

S11 wave function:

$$\Psi_{S11}(P, k) = \frac{1}{\sqrt{2}} \gamma_5 [\Phi_I^0 X_\rho - \Phi_I^1 X_\lambda] \psi_{S11}(P, k)$$

Ψ_N, Ψ_{S11} covariant; ψ_N, ψ_{S11} scalar wave function

$\Phi_I^{0,1}$ isospin; $\Phi_S^{0,1}, X_{\rho,\lambda}$ spin – combination of **quark states**
⇒ Ψ_B written in terms of **baryon** properties

Spectator quark model – S11 wave function

$SU(6) \otimes O(3)$ QM:

$$|N^*(1535)\rangle = \cos \theta \underbrace{|N^2 P_{1/2}\rangle}_{S=1/2} - \sin \theta \underbrace{|N^4 P_{1/2}\rangle}_{S=3/2}$$

Approximations:

- No mixture between states (pure $S = 1/2$ state)
- Pointlike diquark

$$k_\rho = \frac{1}{\sqrt{2}}(k_1 - k_2) \rightarrow 0$$

No diquark internal P-states

Spectator quark model – S11 wave function

Symmetry in the exchange of quarks 1 and 2 $\left\{ \begin{array}{l} \rho = \text{anti-symmetric} \\ \lambda = \text{symmetric} \end{array} \right.$

Momentum: $k_\rho = \frac{1}{\sqrt{2}}(k_1 - k_2)$ $k_\lambda = \frac{1}{\sqrt{6}}(k_1 + k_2 - 2k_3)$

Spin states:

$$|\frac{1}{2}, +\rangle_\rho = \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow)\uparrow \quad |\frac{1}{2}, +\rangle_\lambda = \frac{1}{\sqrt{6}}[2\uparrow\uparrow\downarrow - (\uparrow\downarrow + \downarrow\uparrow)\uparrow]$$

Using $SU(6) \otimes O(3)$ quark model $[1 \oplus \frac{1}{2}]$:

$$X_\rho(+) = \sum_m \langle 1 m; \frac{1}{2}, +\frac{1}{2} | \frac{1}{2}, +\frac{1}{2} \rangle Y_{1m}(\hat{k}_\lambda) |\frac{1}{2}, \frac{1}{2} - m \rangle_\rho + \sum_m (\dots) Y_{1m}(\hat{k}_\rho)$$

$$X_\lambda(+) = \sum_m \langle 1 m; \frac{1}{2}, +\frac{1}{2} | \frac{1}{2}, +\frac{1}{2} \rangle Y_{1m}(\hat{k}_\lambda) |\frac{1}{2}, \frac{1}{2} - m \rangle_\lambda + \sum_m (\dots) Y_{1m}(\hat{k}_\rho)$$

Pointlike diquark approximation $k_\rho \rightarrow 0 \Rightarrow Y_{1m}(\hat{k}_\rho) \equiv 0$

Spectator quark model – S11 wave function

Symmetry in the exchange of quarks 1 and 2 $\left\{ \begin{array}{l} \rho = \text{anti-symmetric} \\ \lambda = \text{symmetric} \end{array} \right.$

Momentum: $k_\rho = \frac{1}{\sqrt{2}}(k_1 - k_2)$ $k_\lambda = \frac{1}{\sqrt{6}}(k_1 + k_2 - 2k_3)$

Spin states:

$$|\frac{1}{2}, +\rangle_\rho = \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow)\uparrow \quad |\frac{1}{2}, +\rangle_\lambda = \frac{1}{\sqrt{6}}[2\uparrow\uparrow\downarrow - (\uparrow\downarrow + \downarrow\uparrow)\uparrow]$$

Using $SU(6) \otimes O(3)$ quark model $[1 \oplus \frac{1}{2}]$:

$$X_\rho(+) = \sum_m \langle 1 m; \frac{1}{2}, +\frac{1}{2} | \frac{1}{2}, +\frac{1}{2} \rangle Y_{1m}(\hat{k}_\lambda) |\frac{1}{2}, \frac{1}{2} - m \rangle_\rho + \sum_m (\dots) \textcolor{red}{Y_{1m}}(\hat{k}_\rho)$$

$$X_\lambda(+) = \sum_m \langle 1 m; \frac{1}{2}, +\frac{1}{2} | \frac{1}{2}, +\frac{1}{2} \rangle Y_{1m}(\hat{k}_\lambda) |\frac{1}{2}, \frac{1}{2} - m \rangle_\lambda + \sum_m (\dots) \textcolor{red}{Y_{1m}}(\hat{k}_\lambda)$$

Pointlike diquark approximation $k_\rho \rightarrow 0 \Rightarrow Y_{1m}(\hat{k}_\rho) \equiv 0$

Spectator quark model – S11 wave function (II)

$k_{\lambda 0, \pm}$ spherical components of k_λ , $N = 1/\sqrt{\mathbf{k}^2}$

$$X_\rho(+) = +N \left\{ k_{\lambda 0} |+\rangle_\rho - \sqrt{2} k_{\lambda +} |-\rangle_\rho \right\}$$

$$X_\lambda(+) = +N \left\{ k_{\lambda 0} |+\rangle_\lambda - \sqrt{2} k_{\lambda +} |-\rangle_\lambda \right\}$$

Relativistic generalization: ϵ_λ^α , \tilde{k}

Diquark polarization vector: ϵ_λ^α ($\lambda = 0, \pm$) [Fixed-Axis base]

4-momentum $\tilde{k} = k - \frac{P \cdot k}{M_S^2} P$ [diquark 3-momentum in rest frame]

$$X_\rho(+) = -N \left[(\tilde{k} \cdot \epsilon_0) u_S(+) - \sqrt{2} (\tilde{k} \cdot \epsilon_+) u_S(-) \right]$$

$$X_\lambda(+) = +N \left[(\tilde{k} \cdot \epsilon_0) \epsilon_\alpha U_S^\alpha(+) - \sqrt{2} (\tilde{k} \cdot \epsilon_+) \epsilon_\alpha U_S^\alpha(-) \right]$$

$$N \rightarrow \frac{1}{\sqrt{-\tilde{k}^2}} \quad U_S^\alpha(P, \pm) = \frac{1}{\sqrt{3}} \gamma_5 \left(\gamma^\alpha - \frac{P^\alpha}{M_S} \right) u(P, \pm) \quad [1 \oplus \frac{1}{2} \rightarrow \frac{1}{2}]$$

Current conservation (1)

- Quark current in a Feynman diagram (one-body current)

$$j^\mu(q) = j_1 \gamma^\mu + j_2 \frac{i\sigma^{\mu\nu} q_\nu}{2M}$$

on-shell: current conserved $q_\mu [\bar{u}(p') j^\mu u(p)] = 0$.

When the Dirac particle is off-shell, it is necessary to modify this current in order to maintain current conservation.

- If a dynamics exists, we can do this in the manner of Gross and Riska, [PRC 36, 1928 \(1987\); Adam et. al, PRC66, 044003 \(2002\)](#)

$$\tilde{S}(p) = \frac{h(p)}{m - p}, \quad j_R^\mu(p', p) = j_R^\mu(j^\mu, h(p'), h(p); p', p)$$

j_R^μ off-shell current, satisfies Ward-Takahashi identity

$$q_\mu j_R^\mu = \tilde{S}^{-1}(p') - \tilde{S}^{-1}(p')$$

Current conservation (2)

- When no dynamics exists a purely phenomenological treatment is needed

$$\begin{aligned} j^\mu &\rightarrow j^\mu - (q_\nu j^\nu) \frac{q^\mu}{q^2} \\ &= j_1 \left(\gamma^\mu - \frac{\not{q} q^\mu}{q^2} \right) + j_2 \frac{i \sigma^{\mu\nu} q_\nu}{2M} \end{aligned}$$

On-shell: \not{q} term vanishes; current reduced to the 1st case

- Calculations of $\gamma^* N \rightarrow N^*$: equivalent to **Landau prescription**
Kelly, PRC 56, 2672 (1997); Batiz and Gross, PRC 58, 2963 (1998)

$$J^\mu \rightarrow J^\mu - (q \cdot J) \frac{q^\mu}{q^2}$$

Restores current conservation but does not affect the observables

- DIS calculations:** subtraction term $-\frac{\not{q} q^\mu}{q^2}$ arises naturally from interaction currents neglected in impulse approximation
Batiz and Gross, PRC 58, 2963 (1998)